

ON ANNIHILATORS IN JORDAN ALGEBRAS

ANTONIO FERNÁNDEZ LÓPEZ

Dedicated to the memory of Pere Menal

Abstract

In this paper we prove that a nondegenerate Jordan algebra satisfying the descending chain condition on the principal inner ideals, also satisfy the ascending chain condition on the annihilators of the principal inner ideals. We also study annihilators in Jordan algebras without nilpotent elements and in JB -algebras.

0. Introduction

The notion of annihilator introduced by Zel'manov plays a fundamental role in some of the most important theorems in Jordan theory [21], [22], [24]. However, annihilators had already been considered by Topping [20] in the particular context of JW -algebras, and later by Bunce [5] and Battaglia [2] in JB -algebras.

In this paper we study annihilators in Jordan algebras, stressing the relationship between the definition due to Zel'manov and the other related notions given by Bunce and Battaglia. We compute annihilators in special Jordan algebras in terms of classical annihilators in their associative envelopes and $*$ -envelopes. Then we consider annihilators in prime non-degenerate Jordan algebras with nonzero socle, getting as a consequence that a nondegenerate Jordan algebra satisfying the descending chain condition on the principal inner ideals (equivalently, coinciding with its socle), also satisfies the maximality condition for the annihilators of the principal inner ideals.

The paper is organized as follows: In Section 1 we give the basic definitions and collect the identities that will be used throughout this paper. General properties of annihilators are settled in Section 2. Annihilators in special Jordan algebras are studied in Section 3. Section 4 deals with annihilators in prime nondegenerate Jordan algebras with nonzero socle. Section 5 concerns with annihilators in Jordan algebras without nilpotent elements and in JB -algebras.

1. Basic identities and definitions

All the algebras we consider here are over a field K of characteristic different from 2. A (nonassociative) algebra J with product $x.y$ satisfying:

$$(1.1) \quad x.y = y.x$$

$$(1.2) \quad x^2.(y.x) = (x^2.y).x \text{ (Jordan identity)}$$

is called a (linear) *Jordan algebra* (our standard references for Jordan algebras are [12], [25]). Every associative algebra A gives rise to a Jordan algebra A^+ under the new multiplication given by

$$(1.3) \quad x.y = 1/2(xy + yx).$$

The following expression relating the associator of three elements in the Jordan product to a double commutator will be frequently used in what follows

$$(1.4) \quad (x, y, z)^+ = 1/4[y, [x, z]]$$

$(a, b, c)^+ = (a.b).c - a.(b.c)$ being the associator of a, b, c in A^+ , and $[a, b] = ab - ba$ the commutator of a, b in A .

Jordan algebras which are subalgebras of a Jordan algebra A^+ are called *special Jordan algebras*. For every associative algebra A with involution $*$: $A \rightarrow A$ the set of all hermitian elements $H(A, *) = \{a \in A : a = a^*\}$ is a subalgebra of A^+ , and therefore special. Another important class of special Jordan algebras is obtained as follows. Let V be a vector space over a field K with a symmetric bilinear form $\varphi : V \times V \rightarrow K$. Consider the vector space direct sum $J = K \oplus V$ and define

$$(1.5) \quad (\alpha, x).(\beta, y) = (\alpha\beta + \varphi(x, y), \alpha y + \beta x).$$

Then J is a special Jordan algebra. Actually J is a Jordan subalgebra of the Clifford algebra $C(V, \varphi)$. If φ is nondegenerate and $\dim_K V > 1$, then J is a simple Jordan algebra.

Every Jordan algebra which is not special is called an *exceptional Jordan algebra*. Let C be a Cayley-Dickson algebra over K (C is an 8-dimensional alternative algebra obtained by doubling a quaternion algebra by the Cayley-Dickson process). Then the set $H_3(C, \gamma) = H(M_3(C), *)$ of all 3×3 matrices in C which are hermitian under the involution $X^* = \gamma^{-1}\bar{X}^t\gamma$ ($\gamma = \text{diag}\{\gamma_1, \gamma_2, \gamma_3\}$ for $\gamma_i \neq 0$ in K) is a simple 27-dimensional exceptional Jordan algebra.

In order to get identities in Jordan algebras is very useful the following theorem due to Macdonald that is usually used in the following form

(1.6). *Any polynomial identity in three variables with degree at most 1 in one variable, and which holds in all special Jordan algebras, holds in all Jordan algebras.*

Consider the following triple product in any associative algebra A

$$(1.7) \quad \{abc\} = 1/2(abc + cba).$$

It is not difficult to see that this can be expressed in terms of the Jordan product as follows

$$(1.8) \quad \{abc\} = (a.b).c + (c.b).a - (a.c).b.$$

This is the definition of $\{abc\}$ in a general Jordan algebra. We shall also write

$$(1.9) \quad U_{a,c}b = \{abc\} = L(a,b)c, \quad U_{a,a} = U_a = U(a).$$

Note that

$$(1.10) \quad U_a = 2L_a^2 - L_{a^2}$$

where $L_ax = a.x$. The following identities can be easily verified in any special Jordan algebra, so by Macdonald's theorem they hold in a general Jordan algebra.

$$(1.11) \quad U(U_ab) = U_a U_b U_a$$

$$(1.12) \quad (U_ab)^2 = U_a U_b a^2$$

$$(1.13) \quad 4(a.t)^2 = U_a t^2 + U_t a^2 + 2a.U_t a$$

$$(1.14) \quad L(a,b) + L(b,a) = 2L_{a.b}$$

$$(1.15) \quad 2[L_a, L_b] = L(a,b) - L(b,a)$$

$$(1.16) \quad L(U_ab, b) = L(a, U_b a)$$

$$(1.17) \quad U_a t^2 = 2\{a.t t a\} - a.U_t a$$

$$(1.18) \quad \{a U_t(a.x) x\} = 2\{a \{a.t x t\} x\} - \{a a.U_t x x\}.$$

Another way to get identities is by linearization. By linearizing Jordan identity (1.2) and (1.16) we get respectively

$$(1.19) \quad (a.c, x, b) = (c, x, a.b) + (a, x, c.b)$$

$$(1.20) \quad 2L(\{abc\}, b) = L(a, U_b c) + L(c, U_b a)$$

where $(z, y, t) = (z.y).t - z.(y.t) = [L_t, L_z]y$ is the associator of z, y, t .

The notion of invertibility in a unital associative algebra can be expressed in terms of the Jordan product. Indeed, let A be an associative algebra with identity element 1. An element $x \in A$ is invertible with inverse y if and only if

$$(1.21) \quad x \cdot y = 1 \text{ and } x^2 \cdot y = x.$$

Then this is the definition of invertible element in any unital Jordan algebra J . Each invertible element x has a unique inverse y , and a unital Jordan algebra J in which each nonzero element is invertible is called a *division Jordan algebra*. It is clear from above that a unital associative algebra A is a division algebra if and only if A^+ is a Jordan division algebra. If A has an involution $*$ then $H(A, *)$ is also a division Jordan algebra.

(1.22). Let $J = K \oplus V$ be the Jordan algebra of a symmetric bilinear form φ . Then an element $a = \varphi + x$ is invertible if and only if $\alpha^2 - \varphi(x, x) \neq 0$. In such case the inverse of a is $b = (\alpha^2 - \varphi(x, x))^{-1}(\alpha - x)$.

For an invertible element x in a unital Jordan algebra the multiplication operator L_x is not necessarily invertible. Consider the division algebra of real quaternions and take the quaternions i, j . Then $L_i j = 0$ since $ij = -ji$. However, in every unital Jordan algebra J , an element x is invertible if and only if U_x is invertible. Hence an invertible element x is not a zero divisor: $U_x y = 0 \Rightarrow y = 0$.

2. Annihilators. General theory

Let J be a Jordan algebra (over K) and let J^1 be its *unital hull*. $J^1 = J$ if J has identity element and $J^1 = K \oplus J$ the unitization of J otherwise.

Lemma. For a, b in J the following conditions are equivalent:

$$(2.1) \quad \{abJ^1\} = 0$$

$$(2.2) \quad \{baJ^1\} = 0$$

$$(2.3) \quad a \cdot b = 0 = (a, J, b).$$

Proof. By (1.8), $\{ab1\} = a \cdot b = \{ba1\}$, and by (1.14), $\{abx\} + \{bax\} = 2(a \cdot b) \cdot x$ ($x \in J^1$). Hence (2.1) \Leftrightarrow (2.2).

Since $2(a, x, b) = 2[L_b, L_a]x = \{bax\} - \{abx\}$ by (1.15), we have that (2.1) \Rightarrow (2.3). Finally, if (2.3) holds we have by (1.14) and (1.15) that

$$\begin{aligned}\{abx\} + \{bax\} &= 2L_{a,b}x = 0 \text{ and} \\ \{bax\} - \{abx\} &= 2[L_b, L_a]x = 2(a, x, b) = 0.\end{aligned}$$

Hence $\{abx\} = 0 = \{bax\}$, which completes the proof. ■

Given $a \in J$ the set of all $b \in J$ satisfying the above equivalent conditions is called the *annihilator* of a and it is denoted by $\text{Ann}(a)$. It follows from definition that $x^2 = 0$ implies $x \in \text{Ann}(x)$, and that if $b \in \text{Ann}(a)$ then $U_a b = 0$. Hence every invertible element has zero annihilator. For any subset M of J , the *annihilator* of M is defined as the intersection of all $\text{Ann}(a)$ ($a \in M$). We recall that a subspace I of J is called an *ideal*, *inner ideal*, *strict inner ideal* if $IJ \subset I$, $U_I J \subset I$, $U_I J^1 \subset I$, respectively. Note that for any element a in J , $U_a J$ is an inner ideal called the principal inner generated by a .

Proposition (Zel'manov). *Let J be a Jordan algebra, $a, b \in J$ and $M \subset J$. Then*

- (2.4) $\text{Ann}(M)$ is a strict inner ideal of J
- (2.5) $a \in \text{Ann}(b) \iff b \in \text{Ann}(a)$
- (2.6) $\text{Ann}(\text{Ann}(\text{Ann } M)) = \text{Ann}(M)$
- (2.7) If M is an ideal of J then $\text{Ann}(M)$ is also an ideal
- (2.8) $\text{Ann}(b) \subset \text{Ann}(U_b a)$
- (2.9) If $a.b = a.b^2 = 0$ then $b^2 \in \text{Ann}(a)$.

Proof: (2.4) Since the intersection of strict inner ideals is again a strict inner ideal, we need only to prove that $\text{Ann}(a)$ is a strict inner ideal. Clearly $\text{Ann}(a)$ is a subspace. Let $b \in \text{Ann}(a)$ and $c \in J^1$. By (1.20)

$$L(a, U_b c) = 2L(\{abc\}, b) - L(c, U_b a) = 0$$

since $\{abc\} = 0$ and $U_b a = \{bab\} = 0$, so $U_b c \in \text{Ann}(a)$.

(2.5) It follows from the symmetry of the definition: (2.1) \Leftrightarrow (2.2).

(2.6) Since $M \subset \text{Ann}(\text{Ann } M)$ we have that $\text{Ann}(\text{Ann}(\text{Ann } M)) \subset \text{Ann}(M)$. The reverse inclusion is obvious.

(2.7) Suppose now that M is an ideal of J , and let $a \in J$, $x \in \text{Ann}(M)$. Then $a.x \in \text{Ann}(M)$ since by (2.3)

$$(a.x).m = x.(a.m) \subset x.M = 0$$

and by (1.19)

$$(a.x, b, m) = (x, b, a.m) + (a, b, x.m) = 0$$

for all $b \in J$, $m \in M$.

(2.8) $x \in \text{Ann}(b) \Leftrightarrow (\text{by (2.5)} b \in \text{Ann}(x) \Rightarrow (\text{by 2.4}) U_b a \in \text{Ann}(x) \Leftrightarrow x \in \text{Ann}(U_b a).$

(2.9) $a.b = a.b^2 = 0 \Rightarrow (\text{by 1.19}) (b^2, x, a) = 2(b, x, b.a) = 0$, so $b^2 \in \text{Ann}(a)$ by (2.3). ■

An element $b \in J$ is called *von Neumann regular* if $b = U_b a$ for some $a \in J$. Since $U_b a = bab$ in any special algebra J , we have that this definition agrees with the associative one. Since $\text{Ann}(b) \subset \text{Ann}(U_b a)$ by (2.8), we have

$$(2.10) \quad \text{Ann}(b) = \text{Ann}(U_b J)$$

for every von Neumann regular element b in J . In particular if e is an idempotent then

$$(2.11) \quad \text{Ann}(e) = \text{Ann}(U_e J) = \{x \in J : x.e = 0\}$$

by Peirce relations [12, Lemma 1, p. 119].

The reader is referred to Zel'manov's paper [24] for other results on annihilators. In fact, he gives the following suggestive characterization for the annihilator of a nondegenerate ideal M of a Jordan algebra J (a Jordan algebra is called *nondegenerate* if $U_x = 0$ implies $x = 0$; clearly an associative algebra A is semiprime if and only if the Jordan algebra A^+ is nondegenerate).

$$(2.12) \quad \text{Ann}(M) = \{a \in J : U_a M = 0\}$$

for any nondegenerate ideal M of J .

3. Annihilators in special Jordan algebras

Let A be an associative algebra and J a special Jordan algebra $J \subset A^+$. For any subset S of J write $\text{Ann}_A(S)$ to denote the usual annihilator of S in A , i.e.,

$$\text{Ann}_A(S) = \{x \in A : xS = Sx = 0\} = \text{Lan}(S) \cap \text{Ran}(S)$$

and $\text{Ann}_J(S)$ to denote the annihilator of S in J . By (1.4) and (2.3)

$$(3.1) \quad \text{Ann}_J(S) = \{a \in J : a.S = 0 = [J, [a, S]]\}.$$

Clearly $\text{Ann}_A(S) \cap J \subset \text{Ann}_J(S)$ but in general this inclusion can be strict, even if $J = A^+$. Indeed, in the full matrix algebra $M_{3 \times 3}(\mathbb{H})$ of all 3×3 matrices over the real quaternions \mathbb{H} , consider the real subalgebra A generated by the matrices $a = i(E_{21} + E_{32})$, $b = j(E_{21} + E_{32})$ where $1, i, j, k$ is a canonical basis of \mathbb{H} and E_{ts} are the matrix units. Then A is the four-dimensional algebra

$$A = \mathbb{R}a \oplus \mathbb{R}a^2 \oplus \mathbb{R}b \oplus \mathbb{R}c$$

satisfying $ab = c$, $a^2 = b^2$, $ba = -c$, $a^n b^m = b^m a^n = 0$ for all nonnegative integers n, m with $n + m \geq 3$. Then it is not difficult to verify that $\text{Ann}_A(a) = \mathbb{R}a^2 \oplus \mathbb{R}c$ but $\text{Ann}_J(a) = \text{Ann}_A(a) \oplus \mathbb{R}b$. Note that the above algebra A is not semiprime; in fact $xAx = 0$ for all $x \in A$. However, as it will be seen below, for a semiprime associative algebra both annihilators agree.

An associative algebra A is an (*associative*) *envelope* for a special Jordan algebra $J \subset A^+$ if it is generated as an associative algebra by the elements of J , and an associative algebra with involution $(A, *)$ is a **-envelope* for J if it is generated by $J \subset H(A, *)$. Notice that **-envelopes* for J are in particular envelopes for J .

(3.2) Proposition. *Let J be a special Jordan algebra and S a subset of J . If a semiprime associative algebra A is an envelope for J then $\text{Ann}_J(S) = \text{Ann}_A(S) \cap J$.*

Proof: Since the inclusion $\text{Ann}_A(S) \cap J \subset \text{Ann}_J(S)$ holds for a general associative algebra A , we need only to prove the reverse inclusion. Let $a \in \text{Ann}_J(S)$. By (3.1), for every $x \in S$ we have that $ax = 0$ and $[a, x]y = y[a, x]$ ($y \in J$). Since A is generated as an associative algebra by J , this implies that $[a, x] \in Z(A)$ (the centre of A). Hence $2ax = [a, x] \in Z(A)$. Then $axa = a^2x = -axa \Rightarrow (ax)^2 = 0 \Rightarrow ax = 0$ since $Z(A)$ has no nonzero nilpotent elements by semiprimeness of A . ■

A Jordan algebra J is said to be *prime* if $U_T S = 0$ implies $T = 0$ or $S = 0$, T, S ideals of J . By a result of Bresar [4], an associative algebra A is prime iff

$$(3.3) \quad \{aAb\} = 0 \Rightarrow a = 0 \text{ or } b = 0.$$

Hence A is prime if and only if A^+ is prime.

Recently, this characterization of primeness without involving ideals has been proved in [3] for nondegenerate Jordan algebras.

The classification of all prime nondegenerate (linear) Jordan algebras was achieved by Zel'manov in [23]. Later McCrimmon and Zel'manov [16] extended the result to Jordan algebras over an arbitrary ring of scalars.

(3.4) Zelmanov's Prime Theorem. *The prime nondegenerate Jordan algebras are precisely*

- (i) *Albert algebras: They are a central order in a simple 27-dimensional exceptional Jordan algebra,*
- (ii) *special Jordan algebras of quadratic type: They are a central order in the simple Jordan algebra of a nondegenerate symmetric bilinear form,*
- (iii) *hermitian algebras: They contain a hermitian ideal $\mathcal{H} = H(A, *)$ such that*

$$H(A, *) = \mathcal{H} \subset J \subset H(Q(A, *), *)$$

*where $(A, *)$ is a $*$ -prime associative algebra with involution which is a $*$ -envelope for the hermitian ideal \mathcal{H} , and $Q(A, *)$ is the symmetric Martindale $*$ -algebra of quotients of $(A, *)$.*

Moreover, either A is prime in (iii) or

$$B^+ = I \subset J \subset Q(B)^+$$

where B is a prime associative algebra and $Q(B)$ is the symmetric Martindale algebra of quotients of B .

The reader is referred to [18], [7], [15] for definition and properties of the symmetric Martindale algebra ($*$ -algebra) of quotients. Nevertheless, we state here the following result that will be used below.

(3.5). *Let A be a prime associative algebra and let $Q(A)$ be its symmetric Martindale algebra of quotients.*

- (i) *For each $q \in Q(A)$ there is a nonzero ideal M of A such that $qM + Mq \subset A$,*
- (ii) *$qN = 0$ (or $Nq = 0$) for some nonzero ideal N of A implies $q = 0$,*
- (iii) *$Q(A)$ is a prime associative algebra containing A .*
- (iv) *Every involution on A has a unique extension to $Q(A)$.*

Now we compute annihilators in prime nondegenerate Jordan algebras of hermitian type.

(3.6) Proposition. *Let J a prime nondegenerate Jordan algebra such that either*

$$A^+ = M \subset J \subset Q(A)^+$$

where A is a prime associative algebra, or

$$H(A, *) = M \subset J \subset H(Q(A), *)$$

where $(A, *)$ is a prime associative algebra with involution, which is a $*$ -envelope for the ideal M . Then for any subset S of J we have

$$\text{Ann}_J(S) = \text{Ann}_{Q(A)}(S) \cap J$$

Proof: Again we must only prove the inclusion $\text{Ann}_J(S) \subset \text{Ann}_{Q(A)}(S)$. Let $a \in \text{Ann}_J(S)$. Since M generates A as an associative algebra in both cases, we have as in the proof of (3.2) that for all $x \in S$, ax commutes with each element $b \in A$. Now let $q \in Q(A)$. By (3.5i), there exists a nonzero ideal I of A such that $qI + Iq \subset A$. Hence for $t = ax$ and $y \in I$ we have

$$(tq)y = (qy)t = (qt)y \Rightarrow [q, t]I = 0,$$

and hence $[q, t] = 0$ by (3.5ii). Then $t = ax$ belongs to the centre of $Q(A)$. Since $Q(A)$ is a prime associative algebra (3.5iii), we may conclude as in the proof of (3.2) that $ax = 0$, as required. ■

4. Annihilators in Jordan algebras with nonzero socle

We recall that the *socle* $\text{Soc}(J)$ of a nondegenerate Jordan algebra J is defined to be the sum of all its minimal inner ideals. If J contains minimal inner ideals then $\text{Soc}(J)$ is a direct sum of simple ideals each of which contains a *division idempotent* e ($U_e J$ is a division Jordan algebra) [17]. As it was shown in [6, Prop. 2.6], for a semiprime associative algebra A the socle of the Jordan algebra A^+ coincides with the (usual) socle of A , and if A has an involution $*$ then $\text{Soc}(H(A, *)) = H(\text{Soc}(A), *)$.

(4.1) Proposition. *Let J be a Jordan algebra and M an ideal of J such that $M^2 = M$ (this holds for instance if M is von Neumann regular or if M is a simple Jordan algebra). Then*

$$(i) \quad \text{Ann}(M) = \{a \in J : a.M = 0\}$$

If J is nondegenerate then

$$(ii) \quad \text{Ann}(\text{Soc}(J)) = \{a \in J : a.e = 0 \text{ for all division idempotents } e \in J\}$$

Proof: (i) Let $a \in J$ such that $a.M = 0$. By (2.3) we must show that $(a, J, M) = 0$; but, by (1.19),

$$(a, J, M) = (a, J, M.M) = (a.M, J, M) - (M, J, a.M) = 0.$$

(ii) Since $\text{Soc}(J)$ is von Neumann regular (see [9]) $\text{Soc}(J)^2 = \text{Soc}(J)$, and hence, by (i), $\text{Ann}(\text{Soc}(J)) = \{a \in J : a.\text{Soc}(J) = 0\}$, but by Litoff

theorem for Jordan algebras [1], for every $x \in \text{Soc}(J)$, $x \in U_u J$ where $u = e_1 + \dots + e_n$ is a sum of orthogonal division idempotents. Hence, by (2.11), $a \in \text{Ann}(\text{Soc}(J))$ if and only if $a.e = 0$ for all division idempotents e in J , which completes the proof. ■

Among other many interesting applications of Zel'manov's theorem for prime nondegenerate Jordan algebras, one may get, as it is shown in [7], the following theorem due to Osborn and Racine [17], but before we recall some definitions and notations that will be used later.

Following [11, p. 69], let $(X, Y, (.,.))$ be a pair of dual vector spaces over a division associative algebra Δ , where X is a left vector space, Y a right vector space, and (x, y) a nondegenerate bilinear mapping over Δ . A linear operator $a : X \rightarrow X$ is said to be *continuous* if there exists $a^\# : Y \rightarrow Y$, necessarily unique, such that $(xa, y) = (x, a^\#y)$. Notice that we write mappings of a left vector space on the right (thus composing then from left to right) and mappings of a right vector space on the left (thus composing them from right to left). We denote by $\mathcal{L}_Y(X)$ the ring of all continuous linear operators of X and by $\mathcal{F}_Y(X)$ the ideal of those operators having finite rank.

The subrings of $\mathcal{L}_Y(X)$ containing $\mathcal{F}_Y(X)$ are precisely those primitive (equivalently, prime) rings with nonzero socle. One can see that such rings are algebras over K when Δ is a K -algebra. By [7, Theorem 1], the symmetric Martindale algebra of quotients of a prime associative algebra A with nonzero socle:

$$\mathcal{F}_Y(X)^+ = \text{Soc}(A) \subset A \subset \mathcal{L}_Y(X)$$

is precisely $Q(A) = \mathcal{L}_Y(X)$.

If A has an involution then Δ has an involution, X is self-dual with respect to a hermitian or alternating inner product $\langle ., . \rangle$, and the involution is the adjoint with respect to this inner product. In the alternating case Δ is a field F and the identity is its involution.

(4.2) Theorem (Osborn-Racine). *Let J be a prime nondegenerate Jordan algebra with nonzero socle. Then one of the following conditions holds:*

- (a) *J is a simple 27-dimensional exceptional Jordan algebra over its centre,*
- (b) *J is a simple Jordan algebra of a nondegenerate symmetric bilinear form,*
- (c) *there exists a pair of dual vector spaces $(X, Y, (.,.))$ over a division associative algebra Δ such that*

$$\mathcal{F}_Y(X)^+ = \text{Soc}(J) \subset J \subset \mathcal{L}_Y(X)^+ = Q(\mathcal{F}_Y(X))^+,$$

- (d) *there exists a hermitian or alternating self-dual vector space $(X, \langle \cdot, \cdot \rangle)$ such that*

$$H(\mathcal{F}_X(X), *) = \text{Soc}(J) \subset J \subset H(\mathcal{L}_X(X), *) = H(Q(\mathcal{F}_X(X)), *)$$

where $*$: $\mathcal{L}_X(X) \rightarrow \mathcal{L}_X(X)$ denotes the adjoint involution.

(4.3). *Since any simple Jordan algebra is prime and nondegenerate, we get in particular that every simple Jordan algebra J containing minimal inner ideals is either exceptional, quadratic, $\mathcal{F}_Y(X)^+$ or $H(\mathcal{F}_X(X), *)$.*

Let $(X, Y, \langle \cdot, \cdot \rangle)$ be a pair of dual vector spaces over Δ . For each $x \in X$, $y \in Y$ write $y \otimes x$ to denote the continuous linear mapping of X defined by

$$x'(y \otimes x) = \langle x', y \rangle x, \text{ for all } x' \in X.$$

- (4.4). $\mathcal{F}_Y(X)$ is generated as an abelian group by all these operators.

The following fundamental result on dual pairs will be frequently used in what follows [19, p. 119].

(4.5). *Let $(X, Y, \langle \cdot, \cdot \rangle)$ be a pair of dual vector spaces over Δ . Let $x_1, \dots, x_n \in X$ be linearly independent. Then there exist $y_1, \dots, y_n \in Y$ such that $\langle x_i, y_i \rangle = 1$ for all i , $\langle x_i, y_j \rangle = 0$ for $i \neq j$.*

We remark that every hermitian or alternating self-dual vector space $(X, \langle \cdot, \cdot \rangle)$ over $(\Delta, -)$ gives rise to a pair of dual vector spaces $(X, X, \langle \cdot, \cdot \rangle)$ where the second X is regarded as a right vector space over Δ by defining $x \cdot \alpha = \bar{\alpha}x$. It is not difficult to verify the following two statements.

(4.6). *If $(X, \langle \cdot, \cdot \rangle)$ is hermitian then $(y \otimes x)^* = x \otimes y$, and $J = H(\mathcal{F}_X(X), *)$ is generated as an abelian group by the operators of the form $x \otimes \alpha x$, $y \otimes z + z \otimes y$, for all $x, y, z \in X$, $\alpha \in H(\Delta, -)$.*

(4.7). *If $(X, \langle \cdot, \cdot \rangle)$ is alternating then $(y \otimes x)^* = -x \otimes y$ and $J = H(\mathcal{F}_X(X), *)$ is generated as an abelian group by the operators of the form $y \otimes x - x \otimes y$.*

(4.8) **Proposition.** *Let $(X, \langle \cdot, \cdot \rangle)$ be a self-dual vector space. Then $(\mathcal{F}_X(X), *)$ is a $*$ -envelope for $J = H(\mathcal{F}_X(X), *)$ if $(X, \langle \cdot, \cdot \rangle)$ is hermitian over a division associative algebra with involution $(\Delta, -)$ with*

$\dim_{\Delta} X > 1$, or if $(X, \langle, \cdot, \rangle)$ is alternating over a field F with $\dim_F X > 2$.

Proof: Let A denote the associative algebra (over K) generated by $J = H(\mathcal{F}_X(X), *)$.

Suppose first that $(X, \langle, \cdot, \rangle)$ is hermitian.

(i) If $\langle x, y \rangle = 1$ then $(x \otimes x)(y \otimes y) = x \otimes \langle x, y \rangle y = x \otimes y \in A$ by (4.6).

(ii) Suppose now that x, y are linearly independent. By (4.5), there exists $z \in X$ such that $\langle x, z \rangle = 0$, $\langle y, z \rangle = 1$. Hence, by (i), $z \otimes y \in A$, so by (4.6) again $(y \otimes x + x \otimes y)(z \otimes y) = x \otimes y \in A$.

(iii) Finally we must prove that $x \otimes \alpha x \in A$ for all $\alpha \in \Delta$. We may assume that $x = x_1$ is nonzero. Since $\dim_{\Delta} X > 1$ there is $x_2 \in X$ such that x_1, x_2 are linearly independent. Take, by (4.5), $y_1, y_2 \in X$ satisfying $\langle x_i, y_j \rangle = \delta_{ij}$. By (ii) and (4.6), $(x_1 \otimes \alpha x_2)(y_2 \otimes y_1)(x_1 \otimes x_1) = x \otimes \alpha x \in A$.

Suppose now that $(X, \langle, \cdot, \rangle)$ is alternating.

(iv) If $\langle x, y \rangle = 0$ with x, y linearly independent take, by (4.5), $x' \in X$ such that $\langle x', x \rangle = 1$, $\langle y, x' \rangle = 0$. Then, by (4.7),

$$(x \otimes x' + x' \otimes x)(x \otimes y - y \otimes x) = x \otimes y \in A.$$

(v) Since $\dim_F > 2$, given a nonzero vector $x \in X$, there exists $y \in Y$ such that $\langle x, y \rangle = 0$ with x, y linearly independent. Hence, by (iv), for each $\alpha \in \Delta$ we have $y \otimes \alpha x \in A$. Take $y' \in X$ such that $\langle y', y \rangle = 1$. Then

$$(x \otimes y' + y' \otimes x)(y \otimes \alpha x) = x \otimes \alpha x \in A.$$

(vi) Suppose finally that x, y are linearly independent and take $x' \in X$ such that $\langle x, x' \rangle = 1$, $\langle y, x' \rangle = 0$. By (iv), (v), $x' \otimes y, x \otimes x \in A$. Then $(x \otimes y)(x' \otimes y) = x \otimes y \in A$, which completes the proof. ■

Theorem. Let J be a prime nondegenerate Jordan algebra with nonzero socle of hermitian type, $S \subset J$, and $a_1, a_2 \in \text{Soc}(J)$.

If (1) $\mathcal{F}_Y(X)^+ = \text{Soc}(J) \subset J \subset \mathcal{L}_Y(X)^+ = Q(\mathcal{F}_Y(X))^+$ where $(X, Y, \langle, \cdot, \rangle)$ is a pair of dual vector spaces over Δ . Then

(4.9)

$$\text{Ran}_{\mathcal{L}_Y(X)}(S) = \{b \in \mathcal{L}_Y(X) : XS \subset \ker(b)\}$$

(4.10)

$$\text{Lan}_{\mathcal{L}_Y(X)}(S) = \{c \in \mathcal{L}_Y(X) : S^{\#}Y \subset \ker(c^{\#})\}$$

(4.11)

$$\text{Ann}_J(S) = \{b \in J : XS \subset \ker(b), S^{\#}Y \subset \ker(b^{\#})\},$$

(4.12)

$$\text{Ann}_J(a_1) \subset \text{Ann}_J(a_2) \text{ if and only if } Xa_2 \subset Xa_1 \text{ and } a_2^{\#}Y \subset a_1^{\#}Y.$$

If (2) $H(\mathcal{F}_X(X), *) = \text{Soc}(J) \subset J \subset H(\mathcal{L}_X(X), *) = H(Q(\mathcal{F}_X(X)), *)$ where $(X, \langle \cdot, \cdot \rangle)$ is a hermitian or alternating self-dual vector space, then

(4.13)

$$\text{Ann}_J(S) = \text{Ran}_{\mathcal{L}_Y(X)}(S) \cap J = \{b \in J : XS \subset \ker(b)\}, \text{ and}$$

(4.14)

$$\text{Ann}_J(a_1) \subset \text{Ann}_J(a_2) \text{ if and only if } Xa_2 \subset Xa_1.$$

Proof: (4.9) follows from definition, while (4.10) is a consequence of (4.9) since $\text{Lan}(S) = \text{Ran}(S^\#)^\#$, where $b \rightarrow b^\#$ is the canonical anti-isomorphism of $\mathcal{L}_Y(X)$ onto $\mathcal{L}_X(Y)$ (associated to the dual pair $(Y, X, \langle \cdot, \cdot \rangle^{\text{op}})$).

(4.11) Since $Q(\mathcal{F}_Y(X)) = \mathcal{L}_Y(X)$, we have by (3.6) that $\text{Ann}_J(S) = \text{Ann}_{\mathcal{L}_Y(X)}(S) \cap J$, and hence (4.11) follows from (4.9) and (4.10).

(4.12) By (4.11), $Xa_2 \subset Xa_1$ and $a_2^\# Y \subset a_1^\# Y$ imply $\text{Ann}_J(a_1) \subset \text{Ann}_J(a_2)$. Suppose then that Xa_2 is not contained in Xa_1 (the case that $a_2^\# Y$ is not contained in $a_1^\# Y$ would follow by symmetry). Since Xa_1 is a proper subspace of X , $a_1^\# Y$ is also proper because a_1 and $a_1^\#$ have the same (finite) rank. Hence, by (4.5), there exists $y \in Y$ such that $\langle Xa_1, y \rangle = 0$ but $\langle Xa_2, y \rangle \neq 0$. Similarly we can take $0 \neq x \in X$ such that $\langle x, a_1^\# Y \rangle = 0$. Set $c = y \otimes x \in \mathcal{F}_Y(X)$. Then

$$\begin{aligned} Xa_1(y \otimes x) &= \langle Xa_1, y \rangle x = 0 \Rightarrow Xa_1 \subset \ker(c) \\ &\Rightarrow (\text{by 4.9}) c \in \text{Ran}_{\mathcal{L}_Y(X)}(a_1) \end{aligned}$$

and

$$\begin{aligned} c^\#(a_1^\# Y) &= y \langle x, a_1^\# Y \rangle = 0 \Rightarrow a_1^\# Y \subset \ker(c^\#) \\ &\Rightarrow (\text{by 4.10}) c \in \text{Ran}_{\mathcal{L}_Y(X)}(a_1). \end{aligned}$$

Therefore $c \in \text{Ann}_J(a_1)$; but c does not lie in $\text{Ann}_J(a_2)$ because $\langle Xa_2, y \rangle \neq 0$.

Suppose now that J is as in (2). If $(X, \langle \cdot, \cdot \rangle)$ is hermitian over a division associative algebra with involution $(\Delta, -)$ with $\dim_\Delta X = 1$, or alternating over a field F with $\dim_F X = 2$, then $J = H(\mathcal{L}_X(X), *)$ is a division Jordan algebra, and hence (4.13) holds trivially in this case. Suppose then that $(X, \langle \cdot, \cdot \rangle)$ is hermitian over a division associative algebra with involution $(\Delta, -)$ with $\dim_\Delta X > 1$, or alternating over F with $\dim_F X > 2$. By (4.8) $H(\mathcal{F}_X(X), *)$ generates $\mathcal{F}_X(X)$ as an associative algebra, and hence, by (3.6),

$$\begin{aligned} \text{Ann}_J(S) &= J \cap \text{Ann}_{\mathcal{L}_Y(X)}(S) = \\ &= J \cap \text{Ran}_{\mathcal{L}_Y(X)}(S) = \{b \in J : XS \subset \ker(b)\} \end{aligned}$$

since $\mathcal{L}_X(X) = Q(\mathcal{F}_X(X))$, which proves (4.13).

(4.14) By (4.13), $Xa_2 \subset Xa_1$ implies that $\text{Ann}_J(a_1) \subset \text{Ann}_J(a_2)$. Conversely, suppose that Xa_2 is not contained in Xa_1 . If the inner product $\langle \cdot, \cdot \rangle$ is hermitian, take, by (4.5), $y \in X$ such that $\langle Xa_1, y \rangle = 0$ but $\langle Xa_2, y \rangle \neq 0$. Then $b = y \otimes y$ belongs to $\text{Ann}_J(a_1)$ but does not belong to $\text{Ann}_J(a_2)$ by (4.13). If the inner product is alternating the proof is slightly more complicated. We first note that if X is finite-dimensional then the rank of every operator $a \in H(\mathcal{F}_X(X), *)$ is even. Actually this is true even if X is infinite-dimensional. Hence, if Xa_2 is not contained in Xa_1 then Xa_1 is a proper subspace of X with $\text{codim}(Xa_1) \geq 2$. Then, by (4.5), there exist y, z linearly independent in X such that

$$\langle Xa_1, y \rangle = 0 = \langle Xa_1, z \rangle$$

but

$$\langle Xa_2, y \rangle \neq 0.$$

Take $b = y \otimes z - z \otimes y \in H(\mathcal{F}_X(X), *)$. Then $Xa_1 \subset \ker(b)$ and hence $b \in \text{Ann}_J(a_1)$ by (4.13). However, b does not belong to $\text{Ann}_J(a_2)$ since $\langle xa_2, y \rangle \neq 0$ for a certain $x \in X$ implies

$$(xa_2)b = \langle xa_2, y \rangle z - \langle xa_2, z \rangle y \neq 0$$

because z, y are linearly independent. ■

(4.15) Theorem. *A nondegenerate Jordan algebra J satisfying the descending chain condition on the principal inner ideals, also satisfies the ascending chain condition on the annihilators of the principal inner ideals.*

Proof: By [8] (see also [14]), J coincides with its socle, and hence it is a direct sum of simple ideals. This reduces the question to the case that J is simple. Then by applying Osborn-Racine theorem we can consider the following cases:

Case 1. J is a simple exceptional finite dimensional Jordan algebra over its centre $Z(J)$.

Since annihilators are invariant under multiplications by elements of the centre, they are subspaces of a finite-dimensional vector space, so they satisfy the maximality condition.

Case 2. $J = F1 \oplus V$ is the simple Jordan algebra of a nondegenerate symmetric bilinear form $\langle \cdot, \cdot \rangle$ on a vector space V with $\dim_F V \geq 2$.

Let $a = \alpha + x \in J$. If $\alpha^2 - \langle x, x \rangle \neq 0$ then we have by (1.22) that a is invertible, so $\text{Ann}(U_a J) = \text{Ann}(J) = 0$. Suppose then that $\alpha^2 - \langle x, x \rangle =$

0. If $\alpha \neq 0$ then by (2.10) $\text{Ann}(U_a J) = \text{Ann}(a) = F(\alpha - x)$, while if $\alpha = 0$ then $\text{Ann}(U_a J) = \text{Ann}(a) = Fx$ whenever $a = x \neq 0$. Therefore J satisfies the maximality condition on the annihilators of the principal inner ideals.

Case 3. $J = \mathcal{F}_Y(X)^+$ where (X, Y, \langle, \rangle) is a pair of dual vector spaces over a division associative algebra Δ .

By (4.12), $\text{Ann}(a_1) \subset \text{Ann}(a_2)$ implies that

$$Xa_1 \supset Xa_2 \supset \dots$$

and

$$a_1^\# Y \supset a_2^\# Y \supset \dots$$

Since Xa_1 and $a_1^\# Y$ are finite-dimensional, both descending chains are stationary. Hence, by (4.12) again, $\text{Ann}(U_{a_n} J) = \text{Ann}(a_n) = \text{Ann}(a_m) = \text{Ann}(U_{a_m} J)$ for some positive integer n and all $m \geq n$.

Case 4. $J = H(\mathcal{F}_X(X), *)$ where (X, \langle, \rangle) is a hermitian or alternating self-dual vector space over a division associative algebra with involution $(\Delta, -)$.

It is similar to Case 3 since, by (4.14), $\text{Ann}(U_{a_1} J) = \text{Ann}(a_1) \subset \text{Ann}(a_2) = \text{Ann}(U_{a_2} J)$ if and only if $Xa_2 \subset Xa_1$. ■

(4.16) Remark. We note that a nondegenerate Jordan algebra J coinciding with its socle need not satisfy the descending chain condition on the annihilators of the principal inner ideals. Indeed, let J be a simple Jordan algebra without identity element and which contains minimal inner ideals. Then, by [17, Cor. 7], J contains an infinite sequence $\{e_n\}$ of nonzero orthogonal idempotents. Hence

$$\text{Ann}(U_{e_1} J) \supset \text{Ann}(U_{e_1+e_2} J) \supset \text{Ann}(U_{e_1+e_2+e_3} J) \supset \dots$$

where the inclusions are strict. Since $\text{Ann}(\text{Ann}(\text{Ann}(M))) = \text{Ann}(M)$ for every subset M of J , we also have that such an algebra J does not satisfy neither the descending chain condition nor the ascending chain condition on the annihilators of arbitrary subsets.

5. Annihilators in anisotropic Jordan algebras and in JB-algebras

A real Jordan J with a complete norm, $\|\cdot\|$ such that, for all elements a, b in J

$$(i) \|a.b\| \leq \|a\| \|b\|, \quad (ii) \|a\|^2 \leq \|a^2 + b^2\|$$

is called a *JB-algebra*. The set J^2 of all squares of elements in a *JB-algebra* J is a proper convex cone. Therefore J^2 induces an ordering on J . For every element $a \in J$ the mapping $U_a : J \rightarrow J$ is positive, i.e., $U_a J^2 \subset J^2$. The reader is referred to [10] for general results on *JB-algebras*. From (i), (ii) we get

$$(iii) \|a\|^2 = \|a^2\|.$$

Hence *JB-algebras* are *anisotropic*, i.e., they do not contain nonzero nilpotent elements.

Let J be an arbitrary Jordan algebra. For any subset T of J , write

$$\begin{aligned} q(T) &= \{a \in J : U_T a^2 = 0\} \\ q'(T) &= \{a \in J : U_a T^2 = 0\} \\ T^0 &= \{a \in J : a.T = 0\} \\ T^\perp &= \{a \in J : a^2.T = 0\} \end{aligned}$$

Always we have the following inclusion

$$(5.1) \quad \text{Ann}(T) \subset q(T) \cap q'(T) \cap T^0 \cap T^\perp.$$

Indeed, since $\text{Ann}(T)$ is an strict inner ideal by (2.4), $a \in \text{Ann}(T) \Rightarrow a^2 \in \text{Ann}(T)$. Hence $a.T = a^2.T = U(a^2)T = U_T a^2 = 0$.

Theorem. *Let J be an anisotropic Jordan algebra. Then*

$$(5.2) \quad q(T) = q'(T) = \text{Ann}(T) = T^0 \cap T^\perp \text{ for every subset } T \text{ of } J$$

$$(5.3) \quad \text{Ann}(x) = \text{Ann}(x^2) \text{ for all } x \in J$$

$$(5.4) \quad \text{Ann}(I) = I^0 = I^\perp = q(I) = q'(I) \text{ for every inner ideal } I \text{ of } J.$$

Proof: Let T be a subset of J . Then

$$(1) \quad q(T) = q'(T)$$

since in the absence of nilpotent elements $U_x y^2 = 0 \Rightarrow (U_y x^2)^2 = U_y U_x U_x y^2 = 0$.

$$(2) \quad a \in q(T) = q'(T) \Rightarrow U_a T = U_T a = 0$$

because $(U_y x)^2 = U_y U_x y^2$.

$$(3) \quad a \in q(T) = q'(T) \Rightarrow a.T = a^2.T = 0$$

since by (1.13), $4(a.t)^2 = U_a t^2 + U_t a^2 + 2a.U_t a = 0$ by (2), and $q'(T)$ is an *strict inner subset*: $(a \in q'(T) \Rightarrow U_a J^1 \subset q'(T) \Rightarrow a^2 \in q'(T))$.

Since, by (5.1), $\text{Ann}(T) \subset q(T)$, it follows from (3)

$$(4) \quad \text{Ann}(T) \subset q(T) = q'(T) \subset T^0 \cap T^\perp.$$

Suppose now that I is an inner ideal of J . Then $t \in I \Rightarrow U_t J \subset I$, and hence $a \in I^0 \Rightarrow a.I = a.U_I a = 0$, so by (1.17)

$$U_a t^2 = 2\{a.t t a\} - a.U_t a = 0.$$

Since $q'(I) \subset I^0$ by (4), we have proved

$$(5) \quad I^0 = q(I) = q'(I).$$

By linearizing (1.12) we get

$$\begin{aligned} 4\{a t x\}^2 &= U_a U_t x^2 + U_x U_t a^2 - 2U_a t.U_x t + 4\{a U_t(a, x) x\} = \text{(by 1.18)} \\ &U_a U_t x^2 + U_x U_t a^2 - 2U_a t.U_x t + 8\{a \{a.t x t\} x\} - 4\{a a.U_t x x\}. \end{aligned}$$

Hence, $a \in I^0 \Rightarrow \{a I J^1\}^2 = 0$ (because $U_I a^2 = U_a I = 0$ by (5), (2)) $\Rightarrow \{a I J^1\} = 0$ implies $a \in \text{Ann}(I)$. Since $\text{Ann}(I) \subset I^0 = q(I) = q'(I)$ by (5.1), (5), we have proved

$$(6) \quad \text{Ann}(I) = I^0 = q(I) = q'(I).$$

Now let $x \in J$. Always $\text{Ann}(x) \subset \text{Ann}(x^2)$, and in the absence of nilpotent elements, $y \in \text{Ann}(x^2) \Rightarrow U_y x^2 = 0 \Rightarrow x \in q(\text{Ann}(x^2)) =$ (by 6) $\text{Ann}(\text{Ann}(x^2))$ since $\text{Ann}(x^2)$ is an inner ideal. Then, by (2.5), $x \in \text{Ann}(\text{Ann}(x^2)) \Rightarrow \text{Ann}(x^2) \subset \text{Ann}(x)$, which proves

$$(7) \quad \text{Ann}(x) = \text{Ann}(x^2).$$

Now $a \in I^\perp \Rightarrow a^2.I = 0 \Rightarrow$ (by 6) $a^2 \in I^0 = \text{Ann}(I) \Rightarrow I \subset \text{Ann}(a^2) = \text{Ann}(a)$ by (7). Hence $I^\perp \subset \text{Ann}(I)$. Since $\text{Ann}(I)$ is contained in I^\perp by (5.1), we get from (6)

$$(8) \quad \text{Ann}(I) = I^0 = I^\perp = q(I) = q'(I).$$

Turn to the general case of a subset T of J . Then $a \in T^0 \cap T^\perp \Rightarrow a.T = a^2.T = 0 \Rightarrow$ (by 2.9) $a^2 \in \text{Ann}(T) \Rightarrow T \subset \text{Ann}(a^2) = \text{Ann}(a) \Rightarrow a \in \text{Ann}(T)$. Since $\text{Ann}(T) \subset q(T) = q'(T) \subset T^0 \cap T^\perp$ by (4), we get

$$(9) \quad q(T) = q'(T) = \text{Ann}(T) = T^0 \cap T^\perp$$

which completes the proof of the theorem. ■

(5.5) Corollary. *If e is an idempotent in an anisotropic Jordan algebra J then*

$$\text{Ann}(e) = q(\{e\}) = q'(\{e\}) = \{e\}^0 = \{e\}^\perp.$$

Proof: By (5.2), $\text{Ann}(e) = q(\{e\}) = q'(\{e\}) = \{e\}^0 \cap \{e\}^\perp$. Since $\text{Ann}(e) = \{e\}^0$ by (2.11), $a \in \{e\}^\perp \Rightarrow a^2.e = 0 \Rightarrow e \in \text{Ann}(a^2) = \text{Ann}(a)$ by (5.3), $\Rightarrow a \in \text{Ann}(e)$, which completes the proof. ■

In a recent paper [2], Battaglia proved the inclusion $T^\perp \subset T^0$ for any subset T of a JB -algebra. The proof of this result uses the fundamental fact (proved by the author in the same paper) that if $a^2.b = 0$ then $a^2.b^2$ is a positive element. Indeed, $a \in T^\perp \Rightarrow a^2.T = 0$, and hence $U_t a^2 = -t^2.a^2 (t \in T)$. Since $U_t : J \rightarrow J$ is a positive mapping, $U_t a^2 = 0$, and hence $a \in q(T) \subset T^0$. From this result and from (5.2) we get

(5.6) Corollary. *Let J be a JB -algebra. For every subset T of J we have*

$$q(T) = q'(T) = \text{Ann}(T) = T^\perp.$$

Clearly, if an element x in a Jordan algebra J has annihilator different from zero, then this element is a zero divisor, i.e., $U_x : J \rightarrow J$ is not injective. The converse is true in JB -algebras.

(5.7) Proposition. *Let J be a JB -algebra. Then every zero divisor x in J has nonzero annihilator.*

Proof: Let $U_x y = 0$ for some nonzero $y \in J$. Then $0 = (U_x y)^2 = U_x U_y x^2$ and we have two possibilities:

- (1) If $U_y x^2 = 0$ then $y \in q'(\{x\}) = \text{Ann}(x)$ by (5.2).
- (2) If $U_y x^2 \neq 0$ then $U_y x^2 = z^2$ for some nonzero $z \in J$ because the mapping $U_y : J \rightarrow J$ is positive. Hence, $0 = U_x z^2 \Rightarrow z \in q(\{x\}) = \text{Ann}(x)$ by (5.2). In both cases $\text{Ann}(x) \neq 0$ as required. ■

(5.8) Remark. (i) As it is shown in [2], the inclusion $T^0 \subset T^\perp$ can be strict even in a JB -algebra.

(ii) The equality $q(I) = I^0$ was first obtained in [5, Lemma 11] for normed closed ideals in JB -algebras, and later this result was extended in [6, Lemma 6.8] to inner ideals in anisotropic Jordan algebras.

(iii) The equality $q(\{e\}) = \{e\}^0 = \{e\}^\perp$ was proved in [13, Lemma 11] for an idempotent in a unital JB -algebra.

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Departamento de Álgebra, Geometría y Topología
Facultad de Ciencias
Universidad de Málaga
Campus Teatinos
29071 Málaga
SPAIN

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