

ORDINARY PRIMES FOR SOME VARIETIES WITH EXTRA ENDOMORPHISMS

FRANCESC FITÉ

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Abstract: Let A be an abelian variety defined over a number field and of dimension g . When $g \leq 2$, by the recent work of Sawin, we know the exact (nonzero) value of the density of the set of primes which are ordinary for A . In higher dimension very little is known. We show that if $g = 3$ and A has multiplication by an imaginary quadratic field E , then there exists a nonzero density set of ordinary primes for A . We reach the same conclusion if $g = 4$ and the pair (A, E) has signature $(2, 2)$. We also obtain partial results when $g = 3$ and A has multiplication by a totally real cubic field. We show that our methods also apply to certain abelian varieties of Albert type IV of higher dimension. These results are derived from an extended version of the ℓ -adic methods of Katz, Ogus, and Serre in the presence of extra endomorphisms.

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1. Introduction

Throughout this note let k denote a number field and A be an abelian variety defined over k of dimension $g \geq 1$. Let \mathfrak{p} be a prime of good reduction for A and choose a rational prime ℓ not divisible by \mathfrak{p} . We will denote by $P_{\mathfrak{p}}(A, T)$ the characteristic polynomial of a Frobenius element $\text{Frob}_{\mathfrak{p}}$ acting on the rational ℓ -adic Tate module $V_{\ell}(A)$ of A . The polynomial $P_{\mathfrak{p}}(A, T)$ is monic of degree $2g$, has integer coefficients, and does not depend on the choice of ℓ .

We will denote by $A_{\mathfrak{p}}$ the reduction of A modulo \mathfrak{p} . If p is the rational prime below \mathfrak{p} , let v denote an extension to the ring of algebraic integers $\overline{\mathbb{Z}}$ of the p -adic valuation. Let us denote by $u_{\mathfrak{p}}(A)$ the number of roots of $P_{\mathfrak{p}}(A, T)$ in $\overline{\mathbb{Z}}$ which have v -adic valuation 0. We say that \mathfrak{p} is *ordinary* for A if $u_{\mathfrak{p}}(A)$ coincides with the dimension g , or if equivalently the coefficient of T^g in $P_{\mathfrak{p}}(A, T)$ is not divisible by p .

When A is an elliptic curve, it is well known (see [15]) that the set of ordinary primes has density $1/2$ or 1 , depending on whether A has complex multiplication or not. Sawin ([13, Theorem 3]) has determined the density of the set of ordinary primes when A is an abelian surface: it only attains the values $1/4$, $1/2$, or 1 . Note that this density had been previously known to be positive (see [8, pp. 370–372]). More in general, it is a conjecture often attributed to Serre that for any abelian variety the set of ordinary primes has a nonzero density.

It is nonetheless worth noting that the conjecture is not known in many cases beyond dimension 2. The abundance of ordinary primes is known if A has potential complex multiplication (see Remark 12), and it has also been proven in cases where $\text{End}(A_{\overline{\mathbb{Q}}}) = \mathbb{Z}$ and the Mumford–Tate group is in some sense small (see the examples considered in [5]). For this we refer to the results by Noot [7, Theorem 2.2] and

Pink [9, Theorem 7.1]. As we will see below, the conjecture also follows from the work of [17] for certain modular abelian varieties.

The original motivation for this note was to investigate the density of the set of ordinary primes for a nongeneric abelian threefold, that is, an abelian threefold A for which $\text{End}(A_{\overline{\mathbb{Q}}}) \neq \mathbb{Z}$. If A decomposes up to $\overline{\mathbb{Q}}$ -isogeny, then the existence of a nonzero density set of ordinary primes for A follows from the additivity of the function $u_{\mathfrak{p}}$ together with the results of Sawin and what is known for elliptic curves. As mentioned above, the existence of such a set when A has potential complex multiplication is well known. Albert's classification (see, for example, [6, §21]) leaves us with two possibilities to consider: the case in which A has multiplication by an imaginary quadratic field and the case in which A has real multiplication by a totally real cubic field. Our approach was fully successful in the first case.

Theorem 1. *Let A be an abelian threefold defined over a number field k . Suppose that $\text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$ contains an imaginary quadratic field. Then there exists a set of nonzero density of primes of k ordinary for A .*

In particular, every Picard curve defined over a number field possesses a nonzero density set of ordinary primes. In the case of real multiplication, we were only able to ensure in general the existence of a nonzero density set of “half ordinary” primes.

Theorem 2. *Let A be an abelian variety defined over a number field k of dimension $g \geq 1$. Suppose that $\text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$ contains a Galois number field of degree g . Then there exists a set of nonzero density of primes \mathfrak{p} of k for which $u_{\mathfrak{p}}(A)$ is at least $\lceil g/2 \rceil$.*

The above result is essentially contained in [17, Theorem 4.1.1]. We will present a proof of it in Section 5 for the reader's convenience. Under an additional hypothesis of linear disjointness over k between the field giving the real multiplication and the field of definition of this multiplication, we can ensure abundance of ordinary primes.

Theorem 3. *Let A be an abelian threefold defined over a number field k . Suppose that there exists a finite extension K/k and a cubic real field E such that $\text{End}(A_K) \otimes \mathbb{Q}$ contains E and E is not contained in K . Then there exists a set of nonzero density of primes of k ordinary for A .*

In the above theorem we restrict to dimension 3 for simplicity. One can certainly find numerical conditions on the dimension of A to derive the same conclusion in other cases. In particular, the theorem holds for the modular abelian varieties A_f attached by Eichler and Shimura to (non-CM) classical newforms f of weight 2 and cubic coefficient field. In dimension $g = 4$, we were able to prove an analogue of Theorem 1 under the assumption of an additional hypothesis (we refer to Definition 13 for the notion of signature).

Theorem 4. *Let A be an abelian fourfold defined over a number field k . Suppose that $\text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$ contains an imaginary quadratic field and that the pair (A, E) has signature $(2, 2)$. Then there exists a set of nonzero density of primes of k ordinary for A .*

As any quaternion algebra over \mathbb{Q} contains an imaginary quadratic field, the previous theorem applies to abelian fourfolds A such that $\text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$ is isomorphic to a quaternion algebra over \mathbb{Q} (such varieties necessarily have signature $(2, 2)$; see Remark 18). The paper concludes with an incursion to higher dimension, where we study abelian varieties which in some sense exhibit a behavior typical of lower dimension.

Corollary 5. *Let A be an abelian variety defined over a number field k of dimension $g \geq 1$ such that $\text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$ contains a simple subalgebra D with center K and Schur index t . Suppose that K is an imaginary quadratic field, and that either:*

- (i) $t = g/2$ and g divides 4; or
- (ii) $t = g/3$ and g divides 9; or
- (iii) $t = g/4$, g divides 16, and (A, K) has signature $(g/2, g/2)$.

Then there exists a set of nonzero density of primes of k ordinary for A .

The corollary applies, for example, to some abelian varieties of Albert type IV. Note, however, that by [16, Theorem 5] when $t = g/2$ and g divides 4 then D is strictly contained in $\text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$.

Some sort of p -ordinary hypothesis is a common assumption in results concerning the construction of p -adic L -functions or p -adic families (notably in Hida theory). Related to this, Wiles ([20, pp. 571–572]) gave an argument ensuring the existence of a nonzero density set of primes \mathfrak{p} at which a Hilbert newform f is ordinary at *some* prime of its coefficient field above $\text{Nm}(\mathfrak{p})$, as long as f is defined over a field of degree at most 2 (but note that for A_f to be ordinary it does not suffice to ensure that f is ordinary only at *some* prime above $\text{Nm}(\mathfrak{p})$). In any case, p -adic constructions are local in nature, and thus the *abundance* of ordinary primes is generally not an essential part of the problem. There are, however, some exceptions: results about Galois representations where the abundance of ordinary primes does appear as a technical assumption. By way of example, we mention the automorphic lifting theorem [2, Theorem 8.5.2], leading to the meromorphicity of the Hasse–Weil L -function of a generic abelian surface defined over a totally real field.

In the remainder of this note we will be concerned with the proof of the four theorems and the corollary stated above. We will see that the methods of Katz, Ogus, and Serre (successfully employed in [8] to derive the existence of a nonzero density set of ordinary primes for an arbitrary abelian surface) can be applied to the situations considered thanks to the presence in A of *extra* multiplications by a number field E . The key point is to replace the ℓ -adic representations $\varrho_{A,\ell}$ employed in the original argument with the λ -adic representations $\varrho_{A,\lambda}$, studied by Serre, Ribet, and Zarhin, among others. In order to prove Theorem 4, we use a theorem of Tate to describe the infinity type of the Hecke characters $\det(\varrho_{A,\lambda})$ in terms of the action of E on the tangent space of A , which may be of independent interest (see Proposition 14).

Notation and terminology. As we have been doing so far, we will often abuse the terminology by simply calling “primes of F ” the nonzero prime ideals of the ring of integers of a number field F . If A is an abelian variety defined over a field F , then by $\text{End}(A)$ we mean the ring of endomorphisms of A defined over F . If F'/F is a field extension, we denote by $A_{F'}$ the base change of A from F to F' . Given a number field E and \mathfrak{P} a prime of E , we will denote by $v_{\mathfrak{P}}$ the associated \mathfrak{P} -adic valuation. Once the prime \mathfrak{P} has been chosen, we will usually denote by v the extension of $v_{\mathfrak{P}}$ to $\overline{\mathbb{Z}}$ obtained by choosing a prime ideal of $\overline{\mathbb{Z}}$ lying above \mathfrak{P} .

2. Galois representation theoretic input

Let E be a number field and denote by E_{λ} its completion at a prime λ of its ring of integers \mathcal{O}_E . Denote by ℓ the rational prime below λ . Let V be a vector space over E_{λ} of dimension d . Denote by G_k the absolute Galois group of k and let $\varrho: G_k \rightarrow \text{Aut}(V)$ be a continuous representation unramified outside a finite set S of primes of k . Let $|\cdot|$ denote the complex absolute value and for a prime \mathfrak{p} of k let $\text{Nm}(\mathfrak{p})$ denote its

absolute norm. Given a prime power q , we say that an algebraic number α is a q -Weil number if $|\iota(\alpha)| = \sqrt[q]{q}$ for every embedding $\iota: \mathbb{Q}(\alpha) \rightarrow \mathbb{C}$. We will say that ρ is:

- (i) *integral* (or \mathcal{O}_E -*integral* if we want to be more precise) if for every \mathfrak{p} outside S the characteristic polynomial of $\rho(\text{Frob}_{\mathfrak{p}})$ lies in $\mathcal{O}_E[T] \subseteq E_{\lambda}[T]$;
- (ii) *of weight w* , for an integer w , if it is integral and, for every \mathfrak{p} outside S , every root α of the characteristic polynomial of $\rho(\text{Frob}_{\mathfrak{p}})$ is an $\text{Nm}(\mathfrak{p})^w$ -Weil number.

Below we will use the following notations without further mention. If k'/k is a finite extension of k , we will denote by S' the set of primes of k' lying above S . If \mathfrak{p} is a prime of k outside S , we denote by $a_{\mathfrak{p}}$ the trace $\text{Tr}(\rho(\text{Frob}_{\mathfrak{p}}))$. If \mathfrak{P} is a prime of E , we denote by $f_{\mathfrak{P}}$ its absolute residue degree.

Next we recall ℓ -adic arguments due to Katz, Ogus, and Serre. Propositions 6 and 8 correspond to [8, Proposition 2.7]. Note that [8, Proposition 2.7] only considers the case $E = \mathbb{Q}$. Since the general number field case has some nontrivial additional intricacies, we will include the proofs of both propositions for the reader's convenience.

Proposition 6 (After Katz). *Let $\rho: G_k \rightarrow \text{Aut}(E_{\lambda}^d)$ be a continuous representation, unramified outside a finite set S of primes of k , \mathcal{O}_E -integral, and of weight 1. Then there exists a finite extension k'/k , and a set R of primes of k' disjoint from S' such that:*

- (i) *Every prime \mathfrak{p} in R has absolute residue degree 1.*
- (ii) *For every prime \mathfrak{p} in R , we have that*

$$(1) \quad \sum_{\mathfrak{P}|\text{Nm}(\mathfrak{p})} f_{\mathfrak{P}} v_{\mathfrak{P}}(a_{\mathfrak{p}}) \leq \frac{[E:\mathbb{Q}]}{2},$$

where the sum runs over the primes \mathfrak{P} of E lying over $\text{Nm}(\mathfrak{p})$ and dividing $a_{\mathfrak{p}}$.

- (iii) *R has density 1.*

Proof: Without loss of generality, we may assume that ρ is of the form $\rho: G_k \rightarrow \text{GL}_d(\mathcal{O}_{E_{\lambda}})$, where $\mathcal{O}_{E_{\lambda}}$ denotes the ring of integers of E_{λ} . Choose an integer $n \geq 1$ such that λ^n does not divide d . We will consider

$$(2) \quad \bar{\rho}: G_k \rightarrow \text{GL}_d(\mathcal{O}_{E_{\lambda}}/\lambda^n \mathcal{O}_{E_{\lambda}})$$

the reduction of ρ modulo λ^n . Choose k'/k large enough so that $\bar{\rho}|_{G_{k'}}$ is trivial. Let R be the set of primes \mathfrak{p} of k' of absolute residue degree 1, not in S' , and such that $\text{Nm}(\mathfrak{p}) > d^{2[E:\mathbb{Q}]}$. Let \mathfrak{p} be a prime of R and denote by p its absolute norm. We claim that (ii) is satisfied. First note that since $\bar{\rho}|_{G'_k}$ is trivial, we have

$$a_{\mathfrak{p}} \equiv d \pmod{\lambda^n},$$

which implies in particular that $a_{\mathfrak{p}}$ is nonzero. We can thus write

$$(a_{\mathfrak{p}}) = \prod_{\mathfrak{P}|p} \mathfrak{P}^{v_{\mathfrak{P}}(a_{\mathfrak{p}})} \mathfrak{b}_{\mathfrak{p}}$$

for some nonzero ideal $\mathfrak{b}_{\mathfrak{p}}$ of \mathcal{O}_E coprime to p . To shorten the notation let us write $v = \sum_{\mathfrak{P}|p} f_{\mathfrak{P}} v_{\mathfrak{P}}(a_{\mathfrak{p}})$. Suppose that $v > [E:\mathbb{Q}]/2$. Using that ρ is of weight 1, we get

$$\text{Nm}(\mathfrak{b}_{\mathfrak{p}}) = \frac{|\text{Nm}_{E/\mathbb{Q}}(a_{\mathfrak{p}})|}{\prod_{\mathfrak{P}|p} \text{Nm}(\mathfrak{P})^{v_{\mathfrak{P}}(a_{\mathfrak{p}})}} \leq \left(dp^{\frac{1}{2} - \frac{v}{[E:\mathbb{Q}]}} \right)^{[E:\mathbb{Q}]} < 1.$$

For the last inequality we have used that the rational number $1/2 - v/[E:\mathbb{Q}]$ is at most $-1/(2[E:\mathbb{Q}])$, while we have that $p > d^{2[E:\mathbb{Q}]}$. But this implies that $\mathfrak{b}_{\mathfrak{p}}$ is zero, which is a contradiction. \square

Remark 7. Note that for every $\mathfrak{p} \in R$ such that $\text{Nm}(\mathfrak{p}) = p$ is unramified in E , we have that $a_{\mathfrak{p}} \in \mathcal{O}_E$ is not divisible by p . Indeed, if this were the case, then $\sum_{\mathfrak{p}|p} f_{\mathfrak{p}} v_{\mathfrak{p}}(a_{\mathfrak{p}}) \geq [E : \mathbb{Q}]$. Proposition 6 thus generalizes [8, (2.7.1)]. While for $E = \mathbb{Q}$ the condition “ p does not divide a_p ” and (1) are equivalent, in general the latter is stronger than the statement “ p does not divide a_p ”.

We will denote by $\chi_{\ell}: G_k \rightarrow E_{\lambda}^{\times}$ the ℓ -adic cyclotomic character, giving the action of G_k on the ℓ -power roots of unity in $\overline{\mathbb{Q}}$. Tate twists are normalized so that $E_{\lambda}(1)$ is equipped with the action of G_k by χ_{ℓ} .

Proposition 8 (After Ogus). *Let $\varrho: G_k \rightarrow \text{Aut}(E_{\lambda}^d)$ be a continuous semisimple representation, unramified outside a finite set S of primes of k , \mathcal{O}_E -integral, and of weight 2. Then there exists a finite extension k'/k such that either*

$$(3) \quad \varrho|_{G_{k'}} \simeq \bigoplus_{i=1}^d E_{\lambda}(1)$$

or there exists a set R of primes of k' disjoint from S' such that:

- (i) Every prime \mathfrak{p} in R has absolute residue degree 1.
- (ii) For every prime \mathfrak{p} in R , we have that $a_{\mathfrak{p}} \in \mathcal{O}_E$ is not divisible by $\text{Nm}(\mathfrak{p})$.
- (iii) R has a positive lower density.

Proof: Choose an integer $n \geq 1$ such that $\ell^n > (2d)^{[E:\mathbb{Q}]}$. Let $\bar{\varrho}$ be as in (2), the reduction of ϱ modulo λ^n . Choose k'/k large enough so that $\bar{\varrho}|_{G_{k'}}$ is trivial and so that k' contains $\mathbb{Q}(\zeta_{\ell^n})$, where ζ_{ℓ^n} is a root of unity of order ℓ^n . Let R (resp. \bar{R}) be the set of primes of k' of absolute degree 1, not in S' , and such that $a_{\mathfrak{p}}$ is not divisible (resp. is divisible) by $\text{Nm}(\mathfrak{p})$. Suppose that \bar{R} has upper density 1. We will show that $\varrho|_{G_{k'}}$ is isomorphic to

$$\varrho_0 := \bigoplus_{i=1}^d E_{\lambda}(1).$$

Let \mathfrak{p} be a prime in \bar{R} , let p denote its absolute norm, and let $b_{\mathfrak{p}} \in \mathcal{O}_E$ be such that $a_{\mathfrak{p}} = pb_{\mathfrak{p}}$. Note that since $\text{Frob}_{\mathfrak{p}}|_{\mathbb{Q}(\zeta_{\ell^n})}$ is the identity, we have $p \equiv 1 \pmod{\ell^n}$. Together with the triviality of $\bar{\varrho}|_{G_{k'}}$, this implies that

$$b_{\mathfrak{p}} \equiv a_{\mathfrak{p}} \equiv d \pmod{\lambda^n}.$$

In particular, ℓ^n divides $\text{Nm}_{E/\mathbb{Q}}(b_{\mathfrak{p}} - d)$. Bearing in mind that ϱ is of weight 2, we find

$$|\text{Nm}_{E/\mathbb{Q}}(b_{\mathfrak{p}} - d)| = \prod_{\sigma: E \rightarrow \mathbb{C}} |\sigma(b_{\mathfrak{p}}) - d| \leq (2d)^{[E:\mathbb{Q}]}.$$

Together with the choice of n and the above divisibility condition, this implies that $\text{Nm}_{E/\mathbb{Q}}(b_{\mathfrak{p}} - d) = 0$. It follows that $a_{\mathfrak{p}} = pd$. In other words, we have seen that

$$\text{Tr}(\varrho(\text{Frob}_{\mathfrak{p}})) = \text{Tr}(\varrho_0(\text{Frob}_{\mathfrak{p}})) \quad \text{for every } \mathfrak{p} \in \bar{R}.$$

Since ϱ is semisimple and \bar{R} has upper density 1, the Chebotarev density theorem implies that $\varrho_0 \simeq \varrho|_{G_{k'}}$. \square

Remark 9. The previous proposition could be proven by reducing to the $E = \mathbb{Q}$ case and then invoking [8, (2.7.2)]. Indeed, it suffices to consider the representation $\text{Res}_{E_{\lambda}/\mathbb{Q}_{\ell}}(\varrho)$ on $\mathbb{Q}_{\ell}^{d[E_{\lambda}:\mathbb{Q}_{\ell}]}$ obtained from ϱ by regarding E_{λ}^d as a \mathbb{Q}_{ℓ} -vector space of dimension $d[E_{\lambda}:\mathbb{Q}_{\ell}]$, and then observe that $\text{Tr}(\text{Res}_{E_{\lambda}/\mathbb{Q}_{\ell}}(\varrho)) = \text{Tr}_{E_{\lambda}/\mathbb{Q}_{\ell}}(\text{Tr}(\varrho))$. We have included a direct proof for the reader's convenience as we will need to point to a step of the proof below.

The existence of a nonzero density set of ordinary primes for an abelian surface follows from the above proposition applied to the representation attached to the action of G_k on $\wedge^2 V_\ell(A)$, after noting that (3) cannot hold for $\wedge^2 V_\ell(A)$. The latter is implied by the following criterion, which we record for later use.

Proposition 10. *Let ϱ be as in Proposition 6, but assume further that $\varrho \simeq \wedge^2 \theta$, where θ is a continuous semisimple representation unramified outside S , \mathcal{O}_E -integral, of weight 1, and dimension ≥ 3 . Then alternative (3) does not occur and R can be taken of density 1.*

Proof: Let \overline{R} be as in the proof of Proposition 8. It suffices to show that this set is finite. Suppose that \mathfrak{p} belongs to \overline{R} . In the proof of Proposition 8 we have seen that then $\mathrm{Tr}(\varrho(\mathrm{Frob}_{\mathfrak{p}})) = pd$. This implies that the eigenvalues of $\mathrm{Frob}_{\mathfrak{p}}$ acting on $\wedge^2 \theta$ must all be p . Note that $\theta(\mathrm{Frob}_{\mathfrak{p}})$ has at least *three*¹ eigenvalues α, β, γ , which must satisfy

$$\alpha\beta = p, \quad \alpha\gamma = p, \quad \beta\gamma = p.$$

These equations force α, β, γ to be either all \sqrt{p} or all $-\sqrt{p}$. As a consequence, the eigenvalues of $\theta(\mathrm{Frob}_{\mathfrak{p}})$ are either all equal to \sqrt{p} or all equal to $-\sqrt{p}$. This implies that $\pm \dim(\theta)\sqrt{p} = \mathrm{Tr}(\theta(\mathrm{Frob}_{\mathfrak{p}})) \in \mathcal{O}_E$, which can only happen for finitely many p . \square

The following criterion is extracted from [14].

Proposition 11 (After Serre). *Let $\varrho: G_k \rightarrow \mathrm{Aut}(E_\lambda^2)$ be a continuous semisimple representation, unramified outside a finite set S of primes of k , \mathcal{O}_E -integral of some weight $w \geq 1$. Suppose that $\det(\varrho) = \varepsilon \chi_\ell^w$, where ε is a finite order character. If there exists a nonzero density set of primes \mathfrak{p} of k such that $a_{\mathfrak{p}} = 0$, then ϱ is potentially abelian.*

Proof: Let G_λ denote the Zariski closure of the image of ϱ and let \mathfrak{g}_λ denote the Lie algebra of G_λ . If ϱ is not potentially abelian, then by [11, Proposition 4.4] (which relies on [11, Theorem 4.3]) we have that \mathfrak{g}_λ is irreducible and nonabelian, and it thus contains \mathfrak{sl}_2 (note that [11, Theorem 4.3, Proposition 4.4] concern the λ -adic representation attached to a modular form; however, note that the properties used in their proofs are precisely the hypotheses we make on ϱ in the statement of the proposition). This implies that on no connected component of G_λ is the trace identically equal to the zero function. This implies the proposition, as by [14, Proposition 13, Corollaire 1 to Proposition 15] the density of the set of primes \mathfrak{p} such that $a_{\mathfrak{p}} = 0$ is the proportion of connected components of G_λ on which the trace is identically zero. \square

3. λ -adic representations attached to abelian varieties

Let E be a number field and let A be an abelian variety defined over k such that there exists a \mathbb{Q} -algebra embedding $E \hookrightarrow \mathrm{End}(A) \otimes \mathbb{Q}$. Choose a rational prime ℓ . From the ℓ -adic representation $\varrho_{A,\ell}: G_k \rightarrow \mathrm{Aut}(V_\ell(A))$, we will construct a λ -adic representation for every prime λ of E dividing ℓ . Let $V_\lambda(A)$ denote the tensor product $V_\ell(A) \otimes_{E \otimes \mathbb{Q}_\ell} E_\lambda$ taken with respect to the \mathbb{Q}_ℓ -algebra map

$$E \otimes \mathbb{Q}_\ell \simeq \bigoplus_{\lambda'|\ell} E_{\lambda'} \rightarrow E_\lambda,$$

¹Note that this argument amusingly fails if we cannot appeal to an auxiliary third eigenvalue. In fact, for an elliptic curve A we have $\wedge^2 V_\ell(A) \simeq \mathbb{Q}_\ell(1)$ and \overline{R} has density 1.

where the second map is the natural projection. Note that $V_\lambda(A)$ is an E_λ -vector space of dimension $2g/[E:\mathbb{Q}]$, where g denotes the dimension of A . Let S be a finite set containing the set of primes of bad reduction for A . Let S_ℓ denote the union of S and the set of primes of k lying above ℓ . The \mathbb{Q}_ℓ -linear action of G_k on $V_\ell(A)$ gives rise to a λ -adic Galois representation

$$\varrho_{A,\lambda}: G_k \rightarrow \text{Aut}(V_\lambda(A)),$$

which is unramified outside S_ℓ , \mathcal{O}_E -integral, and of weight 1 (see [15, III-16], [10, Chapter II], or [21, §0.11] for detailed explanations of these facts).

Every embedding $\sigma: E \hookrightarrow \overline{\mathbb{Q}_\ell}$ singles out a prime λ_σ of E above ℓ such that σ factors via E_{λ_σ} . For simplicity, we choose hereafter the prime ℓ to be totally split in E . In this case the association of $\lambda := \lambda_\sigma$ to σ defines a bijection between the set of embeddings of E into \mathbb{Q}_ℓ and the set of primes of E over ℓ . Whenever E is Galois over \mathbb{Q} , it will be useful to regard these two sets as $\text{Gal}(E/\mathbb{Q})$ -torsors. Let $V_\sigma(A)$ denote the tensor product $V_\lambda(A) \otimes_{E_\lambda} \mathbb{Q}_\ell$ taken with respect to the isomorphism of E_λ with \mathbb{Q}_ℓ determined by σ . We equip it with an action of G_k by letting this group act via $\varrho_{A,\lambda}$ on $V_\lambda(A)$ and trivially on \mathbb{Q}_ℓ . We then have an isomorphism

$$(4) \quad V_\ell(A) \simeq \bigoplus_{\sigma: E \hookrightarrow \mathbb{Q}_\ell} V_\sigma(A)$$

of $\mathbb{Q}_\ell[G_k]$ -modules. For any prime \mathfrak{p} not in S_ℓ , we will denote by $P_\mathfrak{p}(V_\sigma(A), T) \in \mathcal{O}_E[T] \subseteq \mathbb{Q}_\ell[T]$ (resp. by $a_{\mathfrak{p},\sigma} \in \mathcal{O}_E \subseteq \mathbb{Q}_\ell$) the characteristic polynomial (resp. the trace) of $\text{Frob}_\mathfrak{p}$ acting on $V_\sigma(A)$.

In case E is a CM field, given an embedding $\sigma: E \hookrightarrow \mathbb{Q}_\ell$ we will denote by $\bar{\sigma}$ the composite of σ with complex conjugation. Similarly, given a prime λ of E dividing ℓ we will denote by $\bar{\lambda}$ the conjugate of λ by complex conjugation.

As a preparation for the following section, next we observe that the mere existence of $\varrho_{A,\lambda}$ as an \mathcal{O}_E -integral representation is sufficient to ensure the existence of a nonzero density set R of ordinary primes for A , whenever A is an abelian variety with potential complex multiplication.

Remark 12. Suppose that A is an abelian variety with potential complex multiplication, that is, suppose that there exists a number field E of degree $2g$ together with a \mathbb{Q} -algebra embedding $E \hookrightarrow \text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$. For the purpose of showing the existence of a nonzero density set of ordinary primes, we may assume without loss of generality that $E \hookrightarrow \text{End}(A) \otimes \mathbb{Q}$. Choose a prime ℓ totally split in E . Let R denote the set of primes \mathfrak{p} of k outside S of absolute residue degree 1 and such that $\text{Nm}(\mathfrak{p})$ is totally split in E . For every embedding σ of E into \mathbb{Q}_ℓ , we can consider the 1-dimensional \mathbb{Q}_ℓ -vector space $V_\sigma(A)$. In this situation, by (4), the $a_{\mathfrak{p},\sigma}$ are the roots of $P_\mathfrak{p}(A, T)$. From the Weil conjectures, for every $\mathfrak{p} \in R$ we then have

$$a_{\mathfrak{p},\sigma} \cdot a_{\mathfrak{p},\bar{\sigma}} = \text{Nm}(\mathfrak{p}).$$

As $a_{\mathfrak{p},\sigma}$ and $a_{\mathfrak{p},\bar{\sigma}}$ lie in \mathcal{O}_E and the rational prime $p = \text{Nm}(\mathfrak{p})$ is totally split in E , one among them must be a p -adic unit. The fact that every prime \mathfrak{p} in R is ordinary for A is thus clear.

In order to prove Theorems 1, 2, and 3 we will proceed similarly as in the above remark, but we will need to additionally apply Propositions 6, 8, and 11 to the representations $\varrho_{A,\lambda}$.

4. Proof of Theorem 1

Suppose now that A is an abelian threefold and E is an imaginary quadratic field such that there exists a \mathbb{Q} -algebra embedding $E \hookrightarrow \text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$. Without loss of generality we may enlarge k and assume that $E \hookrightarrow \text{End}(A) \otimes \mathbb{Q}$. We may further enlarge k so that it contains E itself. Choose a rational prime ℓ totally split in E , so that the two primes $\lambda, \bar{\lambda}$ above ℓ correspond to the two embeddings $\sigma, \bar{\sigma}$ of E into \mathbb{Q}_{ℓ} . Let k' be the finite extension of k produced by Proposition 8 when applied to the representation $\wedge^2 \varrho_{A,\lambda}$ and the set S_{ℓ} . Note that Proposition 10 applies, and thus alternative (3) does not occur and the set R produced by Proposition 8 has density 1. We now further enlarge k so that it contains k' . By making obvious abuses of the notation, we will regard R as a set of primes of k .

Let \mathfrak{p} be a prime of R . We claim that \mathfrak{p} is ordinary. Having assumed that k contains E , we have that the prime $p = \text{Nm}(\mathfrak{p})$ is totally split in E . Let \mathfrak{P} be a prime of E above $\text{Nm}(\mathfrak{p})$ and let v denote an extension to $\overline{\mathbb{Z}}$ of the \mathfrak{P} -adic valuation $v_{\mathfrak{P}}$. Let us use the notation

$$P_{\mathfrak{p}}(V_{\sigma}(A), T) = T^3 - a_{\mathfrak{p},\sigma}T^2 + b_{\mathfrak{p},\sigma}T - c_{\mathfrak{p},\sigma} \in \mathcal{O}_E[T],$$

and define $a_{\mathfrak{p},\bar{\sigma}}, b_{\mathfrak{p},\bar{\sigma}}, \dots$ similarly for $P_{\mathfrak{p}}(V_{\bar{\sigma}}(A), T)$. In particular, we have that $b_{\mathfrak{p},\sigma} = \text{Tr}(\wedge^2 V_{\sigma}(A)(\text{Frob}_{\mathfrak{p}}))$. Note that

$$c_{\mathfrak{p},\sigma} \cdot c_{\mathfrak{p},\bar{\sigma}} = \det(V_{\sigma}(A)(\text{Frob}_{\mathfrak{p}})) \cdot \det(V_{\bar{\sigma}}(A)(\text{Frob}_{\mathfrak{p}})) = \det(\varrho_{A,\ell}(\text{Frob}_{\mathfrak{p}})) = p^3,$$

which implies that $v(c_{\mathfrak{p},\sigma}) + v(c_{\mathfrak{p},\bar{\sigma}}) = 3$. We may thus assume that $v(c_{\mathfrak{p},\sigma}) \geq 1$ and $v(c_{\mathfrak{p},\bar{\sigma}}) \geq 1$ as otherwise we are obviously done². As \mathfrak{p} belongs to R , we have that p does not divide $b_{\mathfrak{p},\sigma}$. Therefore we cannot simultaneously have $v(b_{\mathfrak{p},\sigma}) \geq 1$ and $v(b_{\mathfrak{p},\bar{\sigma}}) \geq 1$. By symmetry between σ and $\bar{\sigma}$, we may assume that $v(b_{\mathfrak{p},\sigma}) = 0$. Then the usual Newton polygon argument ensures that $P_{\mathfrak{p}}(V_{\sigma}(A), T)$ has two roots $\alpha_{\mathfrak{p}}, \beta_{\mathfrak{p}}$ which are v -adic units. Together with the fact that $v(c_{\mathfrak{p},\sigma}) \geq 1$, this implies that the third root $\gamma_{\mathfrak{p}}$ of $P_{\mathfrak{p}}(V_{\sigma}(A), T)$ must have v -adic valuation equal to 1 (note that the valuation of any root $\xi_{\mathfrak{p}}$ of $P_{\mathfrak{p}}(A, T)$ is ≤ 1 , as $\xi_{\mathfrak{p}}, \bar{\xi}_{\mathfrak{p}}$ are algebraic integers satisfying $\xi_{\mathfrak{p}}\bar{\xi}_{\mathfrak{p}} = p$ and p is totally split in E). Since complex conjugation interchanges the roots of $P_{\mathfrak{p}}(V_{\sigma}(A), T)$ and $P_{\mathfrak{p}}(V_{\bar{\sigma}}(A), T)$, the roots of the latter polynomial are $p/\alpha_{\mathfrak{p}}, p/\beta_{\mathfrak{p}}$, and $p/\gamma_{\mathfrak{p}}$, which have v -adic valuations 1, 1, and 0.

5. Proof of Theorem 2

Suppose now that A is an abelian variety of dimension g and E is a Galois number field of degree g such that there exists a \mathbb{Q} -algebra embedding $E \hookrightarrow \text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$. Without loss of generality we may enlarge k and assume that $E \hookrightarrow \text{End}(A) \otimes \mathbb{Q}$. We may further enlarge k so that it contains E itself. Choose a rational prime ℓ totally split in E . Let k' be the finite extension of k produced by Proposition 6 when applied to $\varrho_{A,\lambda}$ and the set S_{ℓ} . Let R be the set of primes it produces. Enlarge k to k' and regard R as a set of primes of k .

Let \mathfrak{p} be a prime of R . We claim that $u_{\mathfrak{p}}(A) \geq \lceil g/2 \rceil$. As k contains E , the prime $p = \text{Nm}(\mathfrak{p})$ is totally split in E . Like the set of embeddings σ of E into \mathbb{Q}_{ℓ} , the set of primes of E above p is a $\text{Gal}(E/\mathbb{Q})$ -torsor. Let \mathfrak{P} be a prime of E above p , and let v denote an extension to $\overline{\mathbb{Z}}$ of the \mathfrak{P} -adic valuation $v_{\mathfrak{P}}$. Recall that $a_{\mathfrak{p},\sigma}$ denotes the trace of $\text{Frob}_{\mathfrak{p}}$ acting on $V_{\sigma}(A)$. By (1), at most $g/2 = [E : \mathbb{Q}]/2$ of the primes of E above p appear in the factorization of $a_{\mathfrak{p},\sigma}$. From the $\text{Gal}(E/\mathbb{Q})$ -torsor point

²As one can deduce from Proposition 14, these valuations exhibit a global behavior. This is negligible here, but it will become relevant in the proof of Theorem 4.

of view, this translates into the assertion that the number of σ such that the v -adic valuation of $a_{\mathfrak{p},\sigma}$ is nonzero is at most $\lfloor g/2 \rfloor$. As $P_{\mathfrak{p}}(A, T) = \prod_{\sigma} P_{\mathfrak{p}}(V_{\sigma}(A), T)$, the theorem follows.

6. Proof of Theorem 3

By successively replacing k with K and by taking the compositum with a quadratic field, we may assume that $E \hookrightarrow \text{End}(A) \otimes \mathbb{Q}$ and that kE/k is Galois of degree 3. Let R be the set of primes of k of absolute degree 1 which are inert in kE . Take a rational prime ℓ that splits completely in the Galois closure L of E , let λ be a prime of E lying above ℓ , and let σ be the associated embedding of E into \mathbb{Q}_{ℓ} . By [10, Lemma 4.5.1], we have $\det(\varrho_{A,\lambda}) = \chi_{\ell}$, and in particular $\varrho_{A,\lambda}$ satisfies the hypotheses of Proposition 11. Let \mathfrak{p} be a prime in R , let p denote its absolute norm $\text{Nm}(\mathfrak{p})$, and let \mathfrak{P} denote the prime of E lying above p . Let v denote an extension to $\overline{\mathbb{Z}}$ of the \mathfrak{P} -adic valuation $v_{\mathfrak{P}}$. We claim that $v(a_{\mathfrak{p},\sigma})$ is independent of the choice of σ . Indeed, let σ_0 be an embedding of L into \mathbb{Q}_{ℓ} extending σ . Any embedding of E into \mathbb{Q}_{ℓ} is obtained by precomposing σ_0 with the inclusion $E \subseteq L$ and an element of the order 3 subgroup H of $\text{Gal}(L/\mathbb{Q})$. The claim then follows from the fact that H is the stabilizer in $\text{Gal}(L/\mathbb{Q})$ of the primes of L above \mathfrak{P} . Therefore, if $v(a_{\mathfrak{p},\sigma}) = 0$ for every \mathfrak{p} in a nonzero density subset of R , then the equality $P_{\mathfrak{p}}(A, T) = \prod_{\sigma} P_{\mathfrak{p}}(V_{\sigma}(A), T)$ implies the theorem. Otherwise, we have that \mathfrak{P} divides the ideal $(a_{\mathfrak{p},\sigma})$ for every \mathfrak{p} in a nonzero density subset R' of R . By comparing the norms of the two ideals, we immediately see that this implies that $a_{\mathfrak{p},\sigma} = 0$ for every \mathfrak{p} in R' . By Proposition 11, we have that $\varrho_{A,\lambda}$ is potentially abelian. We may then derive the existence of a nonzero density set of primes by applying the argument of Remark 12 (in fact, in this case A is $\overline{\mathbb{Q}}$ -isogenous to the power of an abelian variety with complex multiplication).

7. Hodge–Tate theoretic input

Let us return to the general setting in which A is an abelian variety defined over k of dimension g and E a Galois number field that embeds into $\text{End}(A) \otimes \mathbb{Q}$. Throughout this section we will assume that k contains E , that the extension k/E is Galois, and that ℓ is a rational prime totally split in k .

Let λ be a prime of E lying above ℓ . As $\varrho_{A,\ell}$ has the Hodge–Tate property, so has $\varrho_{A,\lambda}$ by [10, Proposition 1.5.3]. Therefore $\delta_{\lambda} = \det(\varrho_{A,\lambda})$ also has the Hodge–Tate property, and from [10, p. 761] one deduces that δ_{λ} is associated with an algebraic Hecke character δ of type A_0 with values in E of the field k in the sense of Weil [19]. Via global class field theory, we may think of δ as a homomorphism from the group of fractional ideals of k coprime to some integral ideal \mathfrak{M} of \mathcal{O}_k , a modulus for δ . In particular, for every embedding σ of E into \mathbb{C} , there exists an integer n_{σ} such that

$$\delta((\alpha)) = \prod_{\sigma: E \hookrightarrow \mathbb{C}} \sigma(\alpha)^{n_{\sigma}[k:E]}$$

for every α in E^{\times} such that $\alpha \equiv^{\times} 1 \pmod{\mathfrak{M}}$, that is, for every $\alpha \in E^{\times}$ which is multiplicatively congruent to 1 modulo \mathfrak{M} . Note that the expression $\sum_{\sigma} n_{\sigma} \sigma \circ \text{Nm}_{k/E}$ gives the infinity type of the Hecke character δ . Loosely speaking, we will also refer to $(n_{\sigma})_{\sigma}$ as the infinity type of δ_{λ} .

As we established in the proof of Theorem 3, by [10, Lemma 4.5.1], when E is totally real and has degree equal to g , then $\delta_{\lambda} = \chi_{\ell}$. The purpose of this section is to describe in general the infinity type $(n_{\sigma})_{\sigma}$. Fix an embedding $\iota: k \hookrightarrow \mathbb{C}$.

Definition 13. For an embedding σ of E into \mathbb{C} , let

$$r_\sigma = \dim_{\mathbb{C}}(H^0(A, \Omega_{A/k}^1) \otimes_{k \otimes E, \iota \otimes \sigma} \mathbb{C})$$

denote the “multiplicity of the action of E via σ ” on $H^0(A_{\mathbb{C}}, \Omega_{A_{\mathbb{C}}/\mathbb{C}}^1)$. Note that $\sum_{\sigma} r_{\sigma} = g$. We refer to $(r_{\sigma})_{\sigma}$ as the signature of the pair (A, E) .

Via the embedding ι and the inclusion $E \subseteq k$, we may freely identify the set of embeddings of E into \mathbb{C} with the Galois group $\text{Gal}(E/\mathbb{Q})$: for $\sigma \in \text{Gal}(E/\mathbb{Q})$, we will still denote by σ the composition $\iota \circ \sigma$.

Let \mathfrak{L} be a prime of k lying above λ , and let $\mathbb{C}_{\mathfrak{L}}$ denote the completion of $\overline{k_{\mathfrak{L}}}$. We fix an embedding $\kappa: \mathbb{C} \hookrightarrow \mathbb{C}_{\mathfrak{L}}$ such that the composition $\kappa \circ \iota: k \hookrightarrow \mathbb{C}_{\mathfrak{L}}$ coincides with the natural inclusion $k \subseteq k_{\mathfrak{L}} \subseteq \mathbb{C}_{\mathfrak{L}}$. In virtue of this compatibility, by abuse of language, we still denote by ι the natural inclusion $k \subseteq k_{\mathfrak{L}} \subseteq \mathbb{C}_{\mathfrak{L}}$. Even more, given $\sigma \in \text{Gal}(E/\mathbb{Q})$, we will still denote by σ the embedding obtained by composing σ with any of the inclusions $E \subseteq k \subseteq k_{\mathfrak{L}} \subseteq \mathbb{C}_{\mathfrak{L}}$.

We may summarize our series of abuses of notation by saying that, for $\sigma \in \text{Gal}(E/\mathbb{Q})$, any composition of maps in the commutative diagram

$$\begin{array}{ccccccc} & & & & & & \mathbb{C} \\ & & & & & \nearrow \iota & \downarrow \kappa \\ E & \xrightarrow{\sigma} & E & \longrightarrow & k & \longrightarrow & k_{\mathfrak{L}} & \longrightarrow & \mathbb{C}_{\mathfrak{L}} \end{array}$$

departing from the leftmost occurrence of E will still be called σ .

Proposition 14. *The inverse infinity type $(n_{\sigma^{-1}})_{\sigma}$ and the complex conjugate signature $(r_{\bar{\sigma}})_{\sigma}$ coincide.*

Proof: We depart from the isomorphism

$$(5) \quad V_{\ell}(A)(-1) \otimes_{\mathbb{Q}_{\ell}} \mathbb{C}_{\ell} \simeq H^1(A, \mathcal{O}_A) \otimes_{k, \iota} \mathbb{C}_{\ell} \oplus H^0(A, \Omega_{A/k}^1) \otimes_{k, \iota} \mathbb{C}_{\ell}(-1)$$

proven by Tate [18, Corollary 2, p. 180]. As in the discussion preceding the proposition, let $\sigma: E \subseteq k \hookrightarrow k_{\mathfrak{L}}$ denote the embedding associated to the prime \mathfrak{L} . It induces a \mathbb{C}_{ℓ} -algebra map $\mathbb{C}_{\ell} \otimes E \rightarrow \mathbb{C}_{\ell}$, which by abuse of notation we still denote by σ . We now take the tensor $\otimes_{\mathbb{C}_{\ell} \otimes E, \sigma} \mathbb{C}_{\ell}$ of (5) with respect to this map, obtaining

$$V_{\sigma}(A)(-1) \otimes_{\mathbb{Q}_{\ell}} \mathbb{C}_{\ell} \simeq H^1(A, \mathcal{O}_A) \otimes_{k \otimes E, \iota \otimes \sigma} \mathbb{C}_{\ell} \oplus H^0(A, \Omega_{A/k}^1) \otimes_{k \otimes E, \iota \otimes \sigma} \mathbb{C}_{\ell}(-1).$$

Taking first the tensor of the above expression with $\mathbb{C}_{\ell}(1)$, then $G_{k_{\mathfrak{L}}}$ -invariants, and then the tensor with \mathbb{C}_{ℓ} again, [18, Theorem 1] yields

$$(6) \quad (V_{\sigma}(A) \otimes_{\mathbb{Q}_{\ell}} \mathbb{C}_{\ell})^{G_{k_{\mathfrak{L}}}} \otimes \mathbb{C}_{\ell} \simeq H^0(A, \Omega_{A/k}^1) \otimes_{k \otimes E, \iota \otimes \sigma} \mathbb{C}_{\ell}.$$

Note that $V_{\sigma}(A)$, as a subspace of $V_{\ell}(A)$, has the Hodge–Tate property, and thus we have a noncanonical isomorphism

$$(7) \quad V_{\sigma}(A) \otimes_{\mathbb{Q}_{\ell}} \mathbb{C}_{\ell} \simeq \mathbb{C}_{\ell}(1)^{m_{\sigma}} \oplus \mathbb{C}_{\ell}^{s_{\sigma}}$$

for certain integers $m_{\sigma}, s_{\sigma} \geq 0$. Therefore $\delta_{\lambda} \otimes_{\mathbb{Q}_{\ell}} \mathbb{C}_{\ell}|_{G_{k_{\mathfrak{L}}}}$ is noncanonically isomorphic to $\mathbb{C}_{\ell}(m_{\sigma})$.

By [15, III-30, III-44, III-48], we have that $n_{\sigma^{-1}} = m_{\sigma}$. On the other hand, the comparison of (6) and (7) implies that $r_{\sigma} = s_{\sigma}$. It thus only remains to show that $m_{\sigma} = s_{\bar{\sigma}}$. But this immediately follows from the isomorphism

$$V_{\sigma}(A) \simeq V_{\bar{\sigma}}(A)^{\vee}(1),$$

where $V_{\bar{\sigma}}(A)^{\vee}$ denotes the dual of $V_{\bar{\sigma}}(A)$ (see the proof of [12, Proposition 3.4], which extends *verbatim* to our more general setting). \square

Remark 15. When A is an abelian variety with CM, the δ_λ are the Hecke characters that give the L -function of A . In this particular case, Proposition 14 is the restatement that the infinity type of A is the “inverse CM type” of A .

8. Proof of Theorem 4

Suppose that A is an abelian fourfold and E an imaginary quadratic field that embeds into $\text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$. Suppose that the pair (A, E) has signature $(2, 2)$. Choose a rational prime ℓ totally split in E , and let $\lambda, \bar{\lambda}$ denote the corresponding primes of E . Let $\sigma, \bar{\sigma}$ denote the embeddings of E into \mathbb{Q}_ℓ attached to $\lambda, \bar{\lambda}$. We may enlarge k so that it contains E , so that E actually embeds into $\text{End}(A) \otimes \mathbb{Q}$, and so that the field produced by Proposition 8 when applied to $\wedge^2 \varrho_{A, \lambda}$ and S_ℓ is contained in k . We thus regard R as a set of primes of k , which by Proposition 10 we can assume of density 1. Finally we replace k with its normal closure over E .

Let \mathfrak{p} be a prime of R . We claim that it is ordinary. Note that $p = \text{Nm}(\mathfrak{p})$ is totally split in E . Let \mathfrak{P} be a prime of E above $\text{Nm}(\mathfrak{p})$ and let v denote an extension to $\overline{\mathbb{Z}}$ of the \mathfrak{P} -adic valuation $v_{\mathfrak{P}}$. Let us denote

$$b_{\mathfrak{p}, \sigma} = \text{Tr}(\wedge^2 V_\sigma(A)(\text{Frob}_{\mathfrak{p}})), \quad \delta_{\mathfrak{p}, \sigma} := \det(V_\sigma(A)(\text{Frob}_{\mathfrak{p}})).$$

As in the proof of Theorem 2, one sees that $\delta_{\mathfrak{p}, \sigma} \cdot \delta_{\mathfrak{p}, \bar{\sigma}} = p^4$. Next we claim that the hypothesis that the pair (A, E) has signature $(2, 2)$ implies that $v(\delta_{\mathfrak{p}, \sigma}) = v(\delta_{\mathfrak{p}, \bar{\sigma}}) = 2$. By Proposition 14, the infinity type of δ_λ is $(2, 2)$. Let \mathfrak{M} denote the modulus of δ_λ and let h denote the product of the class number of k and the size of $(\mathcal{O}_k/\mathfrak{M})^\times$. Then there exists $\beta_{\mathfrak{p}}$ in E such that

$$\text{Nm}_{k/E}(\mathfrak{p})^h = (\beta_{\mathfrak{p}}), \quad \beta_{\mathfrak{p}} \equiv^\times 1 \pmod{\mathfrak{M}}, \quad \text{and} \quad \delta_{\mathfrak{p}, \sigma}^h = \sigma(\beta_{\mathfrak{p}})^{2h} \bar{\sigma}(\beta_{\mathfrak{p}})^{2h}.$$

The last equality implies that $v(\delta_{\sigma, \mathfrak{p}}) = v(\delta_{\bar{\sigma}, \mathfrak{p}})$, which shows the claim.

As in the proof of Theorem 2, the fact that \mathfrak{p} belongs to R implies that we cannot simultaneously have $v(b_{\mathfrak{p}, \sigma}) \geq 1$ and $v(b_{\mathfrak{p}, \bar{\sigma}}) \geq 1$. By symmetry between σ and $\bar{\sigma}$, we may assume that $v(b_{\mathfrak{p}, \sigma}) = 0$. Then the usual Newton polygon argument ensures that $P_{\mathfrak{p}}(V_\sigma(A), T)$ has two roots which are v -adic units. Since $v(\delta_{\mathfrak{p}, \sigma}) = 2$ and the v -adic valuation of a root is at most 1, the other two roots of $P_{\mathfrak{p}}(V_\sigma(A), T)$ must have v -adic valuation equal to 1. But this concludes the proof, as complex conjugation, which sends a root $\alpha_{\mathfrak{p}}$ to $p/\alpha_{\mathfrak{p}}$, interchanges the roots of $P_{\mathfrak{p}}(V_\sigma(A), T)$ and $P_{\mathfrak{p}}(V_{\bar{\sigma}}(A), T)$.

Remark 16. By [16] abelian fourfolds defined over a number field k with multiplication by an imaginary quadratic field of signature $(2, 2)$ exist. In fact, if k has a real place, then as argued in [4, Proposition 3.4] any abelian fourfold defined over k with multiplication by an imaginary quadratic field must have signature $(2, 2)$.

Remark 17. Let ζ_3 denote a primitive cubic root of unity. A source of examples of simple abelian threefolds with imaginary multiplication by $\mathbb{Q}(\zeta_3)$ are the Jacobians of Picard curves defined over a number field k containing $\mathbb{Q}(\zeta_3)$. These are curves C of the form $y^3 = f(x)$, where $f(x)$ is a separable polynomial in $k[x]$ of degree 4. By considering the action of $\mathbb{Q}(\zeta_3)$ on the basis of the regular differentials $dx/y, dx/y^2, xdx/y^2$ of C , one immediately finds that the signature is $(2, 1)$ (for a generic Picard curve, see [1] for the determination of the value of the density of ordinary primes). By replacing $f(x)$ with a separable degree 5 polynomial, we obtain examples of abelian fourfolds with imaginary multiplication by $\mathbb{Q}(\zeta_3)$. Note, however, that Theorem 4 does not apply in this case, as the signature is $(3, 1)$.

9. A Tate module tensor decomposition

Let A be an abelian variety defined over k of dimension $g \geq 1$. Let D be a simple algebra over \mathbb{Q} ; denote by K the center of D and by t the Schur index of D . Suppose that D is a subalgebra of $\text{End}(A) \otimes \mathbb{Q}$ and let E be a maximal subfield of D . Recall that E has degree t over K . Let ℓ be a rational prime totally split in E , and let λ be a prime of E above ℓ . As $D \otimes_K E_\lambda$ splits as $M_t(E_\lambda)$, and acts on $V_\ell(A) \otimes_{K \otimes \mathbb{Q}_\ell} E_\lambda$ compatibly with G_k , we find a G_k -equivariant isomorphism

$$V_\ell(A) \otimes_{K \otimes \mathbb{Q}_\ell} E_\lambda \simeq \bigoplus_{i=1}^t V,$$

where V is an E_λ -vector space of dimension $2g/(t[K : \mathbb{Q}])$. More canonically, by considering the obvious natural map, one sees that this isomorphism takes the form

$$(8) \quad (D \otimes_E E_\lambda) \otimes_{E_\lambda} V_\lambda(A) \simeq V_\ell(A) \otimes_{K \otimes \mathbb{Q}_\ell} E_\lambda,$$

where $V_\lambda(A) = V_\ell(A) \otimes_{E \otimes \mathbb{Q}_\ell} E_\lambda$. In the above expression, the tensor products $V_\lambda(A)$ and $V_\ell(A) \otimes_{K \otimes \mathbb{Q}_\ell} E_\lambda$ are taken with respect to compatibly chosen \mathbb{Q}_ℓ -algebra maps $E \otimes \mathbb{Q}_\ell \rightarrow E_\lambda$ and $K \otimes \mathbb{Q}_\ell \rightarrow E_\lambda$. Note that under the assumption that D is contained in $\text{End}(A) \otimes \mathbb{Q}$, the action of G_k on D is trivial. With the appropriate modifications, this isomorphism can be promoted to an isomorphism also in the more general situation where one only requires that D be contained in $\text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$ and K be contained in $\text{End}(A) \otimes \mathbb{Q}$ (this can be achieved as in [3, Proposition 2.8], but we will not need it here).

Remark 18. It was mentioned in the introduction that if A is an abelian fourfold such that $\text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$ is isomorphic to a quaternion algebra D over \mathbb{Q} and E is a quadratic subfield of D , then (A, E) has signature $(2, 2)$. One way to see this is by using Proposition 14, decomposition (8) with $K = \mathbb{Q}$, and noting that $\det(\varrho_{A, \ell}) = \chi_\ell^2$.

10. Proof of Corollary 5

We may assume that A is an abelian variety such that $\text{End}(A) \otimes \mathbb{Q}$ contains a simple subalgebra D as above, where K is now an imaginary quadratic field. Note that the action of D on the $2g$ -dimensional vector space $H^1(A_{\mathbb{C}}, \mathbb{Q})$ imposes the divisibility condition $t^2 \mid g$, which, together with the imposed constraints on t , bounds the dimension g as in the statement of the corollary.

Let $\tau, \bar{\tau}$ denote the two embeddings of K into \mathbb{Q}_ℓ . We may assume that τ is the restriction to K of the embedding $\sigma: E \hookrightarrow \mathbb{Q}_\ell$ associated to λ . Let $\bar{\sigma}: E \hookrightarrow \mathbb{Q}_\ell$ denote an embedding restricting to $\bar{\tau}$. Write $V_\tau(A) = V_\ell(A)_{K \otimes \mathbb{Q}_\ell, \tau} \otimes_{\mathbb{Q}_\ell} E_\lambda$ and similarly for $V_{\bar{\tau}}(A)$. From the isomorphism $V_\ell(A) \simeq V_\tau(A) \oplus V_{\bar{\tau}}(A)$ and decomposition (8), for every prime \mathfrak{p} outside S_ℓ , we find

$$(9) \quad \begin{aligned} P_{\mathfrak{p}}(A, T) &= P_{\mathfrak{p}}(V_{\bar{\tau}}(A), T) \cdot P_{\mathfrak{p}}(V_\tau(A), T) \\ &= (P_{\mathfrak{p}}(V_\sigma(A), T) \cdot P_{\mathfrak{p}}(V_{\bar{\sigma}}(A), T))^t. \end{aligned}$$

Note that the polynomials $P_{\mathfrak{p}}(V_\sigma(A), T)$ and $P_{\mathfrak{p}}(V_{\bar{\sigma}}(A), T)$, which a priori have coefficients in \mathcal{O}_E , in fact have coefficients in \mathcal{O}_K . Moreover, their product $h_{\mathfrak{p}}$ has coefficients in \mathbb{Z} .

Note that $\deg(h_{\mathfrak{p}}) = 2g/t$. Therefore, when $t = g/2$, the corollary follows by applying Proposition 8 in exactly the same way as when one asserts the existence of a nonzero density set of ordinary primes for an abelian surface.

When $t = g/3$, identity (9) reduces the proof of the corollary to that of Theorem 1. When $t = g/4$ and (A, K) has signature $(g/2, g/2)$, Proposition 14 and decomposition (8) imply that $\det(\varrho_{A,\lambda})$ has infinity type $(2, 2)$, and then the proof of the corollary reduces to that of Theorem 4.

Remark 19. From Theorem 4, we deduced in the introduction the abundance of ordinary primes for an abelian fourfold A such that $\text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$ is isomorphic to a quaternion algebra over \mathbb{Q} . An alternative proof of this fact can be obtained by using the method employed in the proof of Corollary 5, but taking $K = \mathbb{Q}$ (which essentially reduces the question to the case of abelian surfaces).

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Departament de Matemàtiques i Informàtica, Universitat de Barcelona, Gran Via de les Corts Catalanes 585, 08007 Barcelona, and Centre de Recerca Matemàtica, Edifici Cc, Campus de Bellaterra, 08193 Cerdanyola del Vallès, Barcelona, Catalonia
E-mail address: `ffite@ub.edu`

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